

General Assembly

Amendment

October 25 Special Session, 2005

LCO No. 8613

SB0210308613SR0

Offered by:

SEN. MCKINNEY, 28th Dist.

To: Senate Bill No. **2103** File No. Cal. No.

"AN ACT CONCERNING COMPREHENSIVE CAMPAIGN FINANCE REFORM FOR STATE-WIDE CONSTITUTIONAL AND GENERAL ASSEMBLY OFFICES."

Strike everything after the enacting clause and substitute the following in lieu thereof:

3 "Section 1. (NEW) (*Effective from passage*) There is established, within 4 the General Fund, a separate, nonlapsing account to be known as the 5 "Citizens' Election Fund". The fund may contain any moneys required 6 by law to be deposited in the fund. Investment earnings credited to the assets of the fund shall become part of the assets of the fund. The State 8 Treasurer shall administer the fund. Any balance remaining in the 9 fund at the end of any fiscal year shall be carried forward in the fund 10 for the next fiscal year. All moneys deposited in the fund shall be used 11 for the purposes of sections 1 and 6 to 21, inclusive, of this act. The 12 State Elections Enforcement Commission may deduct and retain from 13 the moneys in the fund an amount equal to the costs incurred by the 14 commission in administering the provisions of sections 1 and 6 to 21, 15 inclusive, of this act provided said amount shall not exceed one per

16 cent of the moneys deposited in the fund in any fiscal year. Any

- 17 portion of said one per cent allocation which exceeds said costs
- 18 incurred by the commission in any fiscal year shall continue to be
- 19 available for any said costs incurred by the commission in subsequent
- 20 fiscal years.
- Sec. 2. (NEW) (Effective July 1, 2006) Not later than January 1, 2007,
- 22 and annually thereafter, the State Elections Enforcement Commission
- 23 shall notify the State Treasurer of the amount necessary to fund
- sections 1 and 6 to 21 of this act for the next fiscal year.
- Sec. 3. (NEW) (Effective July 1, 2007)). The State Treasurer shall
- 26 deposit the amount of funds pursuant to section 2 of this act into the
- 27 Citizens' Election Fund, created in section 1 of this act, from funds
- 28 received under sections 3-56a to 3-76, inclusive, of the general statutes.
- 29 Funds deposited pursuant to this section shall be considered general
- 30 budget expenditures for purposes of section 2-33a of the general
- 31 statutes.
- 32 Sec. 4. Section 9-348ee of the general statutes is repealed and the
- 33 following is substituted in lieu thereof (*Effective from passage*):
- 34 (a) The Secretary of the State shall (1) not later than July 1, 1998,
- 35 create a software program or programs for the preparation of financial
- 36 disclosure statements required by section 9-333j, as amended by this
- act, and (2) not later than July 1, 1999, prescribe the standard reporting
- 38 format and specifications for other software programs created by
- 39 vendors for such purpose, subject to the approval, for legal sufficiency,
- 40 of the State Elections Enforcement Commission. No software program
- 41 created by a vendor may be used for the electronic submission of such
- 42 financial disclosure statements, until the Secretary of the State
- 43 determines that the program provides for the standard reporting
- 44 format, and complies with the specifications, which are prescribed
- under subdivision (2) of this subsection for vendor software programs.
- 46 The secretary, in consultation with the commission, shall provide
- 47 training in the use of the software program or programs created by the

48 secretary.

(b) On and after [January 1, 1999] January 1, 2006, the campaign 49 50 treasurer of the candidate committee for [each candidate for 51 nomination or election to the office of Governor, Lieutenant Governor, 52 Attorney General, State Comptroller, State Treasurer or Secretary of 53 the State who] any candidate, as defined in section 9-333a, who is 54 required to file campaign finance statements pursuant to section 9-333j, 55 as amended by this act, with the office of the Secretary of the State and 56 the campaign treasurer of any political committee or party committee, 57 which raises or spends [two hundred fifty] twenty-five thousand 58 dollars or more during an election campaign shall file in electronic 59 form all financial disclosure statements required by said section 9-333j 60 by either transmitting disks, tapes or other electronic storage media 61 containing the contents of such statements to the office of the Secretary 62 of the State or transmitting the statements on-line to said office. Each 63 such campaign treasurer shall use either (1) a software program 64 created by the Secretary of the State under subdivision (1) of 65 subsection (a) of this section, for all such statements filed on or after 66 [January 1, 1999] July 1, 2007, or (2) another software program which 67 provides for the standard reporting format, and complies with the 68 specifications, which are prescribed by the secretary under subdivision 69 (2) of subsection (a) of this section, for all such statements filed on or 70 after July 1, [1999] 2007. The office of the Secretary of the State shall 71 accept any statement that uses any such software program. Once any 72 such candidate committee, political committee or party committee has 73 raised or spent [two hundred fifty] twenty-five thousand dollars or 74 more during an election campaign, all previously filed statements 75 required by said section 9-333j, as amended by this act, which were not 76 filed in electronic form shall be refiled in such form, using such a 77 software program, not later than the date on which the campaign 78 treasurer of the committee is required to file the next regular statement 79 under said section 9-333j, as amended by this act. The campaign treasurer of any such candidate committee, political committee or 80 party committee may file campaign finance statements in electronic

form pursuant to this subsection before the committee has raised or spent twenty-five thousand dollars.

[(c) On and after January 1, 1999, (1) the campaign treasurer of the candidate committee for any other candidate, as defined in section 9-333a, who is required to file the financial disclosure statements required by section 9-333j with the office of the Secretary of the State and (2) the campaign treasurer of any political committee or party committee, may file in electronic form any financial disclosure statements required by said section 9-333j. Such filings may be made by either transmitting disks, tapes or other electronic storage media containing the contents of such statements to the proper authority under section 9-333e or transmitting the statements on-line to such proper authority. Each such campaign treasurer shall use either (A) a software program created by the Secretary of the State under subdivision (1) of subsection (a) of this section, for all such statements filed in electronic form on or after January 1, 1999, or (B) another software program which provides for the standard reporting format, and complies with the specifications, which are prescribed by the secretary under subdivision (2) of subsection (a) of this section, for all such statements filed in electronic form on or after July 1, 1999. The proper authority under section 9-333e shall accept any statement that uses any such software program.]

Sec. 5. Subsection (e) of section 9-333j of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

(e) (1) Notwithstanding any provisions of this chapter, [to the contrary,] in the event of a surplus the campaign treasurer of a candidate committee or of a political committee, other than a political committee formed for ongoing political activities or an exploratory committee, shall distribute or expend such surplus [within] not later than ninety days after a primary which results in the defeat of the candidate, an election or referendum not held in November or by January thirty-first following an election or referendum held in

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- (A) Such committees may distribute their surplus to a party committee, or a political committee organized for ongoing political activities, return such surplus to all contributors to the committee on a prorated basis of contribution, distribute all or any part of such surplus to the Citizens' Election Fund, established in section 1 of this act, or distribute such surplus to any charitable organization which is a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code of 1986, or any subsequent corresponding internal revenue code of the United States, as from time to time amended, provided (i) no candidate committee may distribute such surplus to a committee which has been established to finance future political campaigns of the candidate, and (ii) a candidate committee which received moneys from the Citizens' Election Fund shall distribute such surplus to such fund;
- (B) Each such political committee established by an organization which received its funds from the organization's treasury shall return its surplus to its sponsoring organization;
- (C) (i) Each political committee formed solely to aid or promote the success or defeat of any referendum question, which does not receive contributions from a business entity or an organization, shall distribute its surplus to a party committee, to a political committee organized for ongoing political activities, to a national committee of a political party, to all contributors to the committee on a prorated basis of contribution, to state or municipal governments or agencies or to any organization which is a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code of 1986, or any subsequent corresponding internal revenue code of the United States, as from time to time amended. [, (ii) each] (ii) Each political committee formed solely to aid or promote the success or defeat of any referendum question, which receives contributions from a business entity or an organization, shall distribute its surplus to all contributors to the committee on a prorated basis of contribution, to state or municipal governments or agencies, or to any organization which is tax-exempt under said provisions of the

Internal Revenue Code. Notwithstanding the provisions of this subsection, a committee formed for a single referendum shall not be required to expend its surplus within ninety days after the referendum and may continue in existence if a substantially similar referendum question on the same issue will be submitted to the electorate within six months after the first referendum. If two or more substantially similar referenda on the same issue are submitted to the electorate, each no more than six months apart, the committee shall expend such surplus within ninety days following the date of the last such referendum;

- (D) The campaign treasurer of the candidate committee of a candidate who is elected to office may, upon the authorization of such candidate, expend surplus campaign funds to pay for the cost of clerical, secretarial or other office expenses necessarily incurred by such candidate in preparation for taking office; except such surplus shall not be distributed for the personal benefit of any individual or to any organization; and
- (E) The campaign treasurer of a candidate committee, or of a political committee, other than a political committee formed for ongoing political activities or an exploratory committee, shall, prior to the dissolution of such committee, either (i) distribute any equipment purchased, including but not limited to computer equipment, to any recipient as set forth in subparagraph (A) of this subdivision, or (ii) sell any equipment purchased, including but not limited to computer equipment, to any person for fair market value and then distribute the proceeds of such sale to any recipient as set forth in said subparagraph (A).
- (2) Notwithstanding any provisions of this chapter, [to the contrary,] the campaign treasurer of the candidate committee of a candidate who has withdrawn from a primary or election may, prior to the primary or election, distribute its surplus to any organization which is tax-exempt under Section 501(c)(3) of the Internal Revenue Code of 1986, or any subsequent corresponding internal revenue code

of the United States, as from time to time amended, or return such surplus to all contributors to the committee on a prorated basis of contribution.

- (3) [Within] Not later than seven days after such distribution or [within] not later than seven days after all funds have been expended in accordance with subparagraph (D) of subdivision (1) of this subsection, the campaign treasurer shall file a supplemental statement, sworn under penalty of false statement, with the proper authority, identifying all further contributions received since the previous statement and explaining how any surplus has been distributed or expended in accordance with this section. No surplus may be distributed or expended until after the election, primary or referendum.
- (4) In the event of a deficit the campaign treasurer shall file a supplemental statement ninety days after an election, primary or referendum not held in November or on the seventh calendar day in February, or the next business day if such day is a Saturday, Sunday or legal holiday, after an election or referendum held in November, with the proper authority and, thereafter, on the seventh day of each month following if on the last day of the previous month there was an increase or decrease in the deficit in excess of five hundred dollars from that reported on the last statement filed. The campaign treasurer shall file such supplemental statements as required until the deficit is eliminated. If any such committee does not have a surplus or a deficit, the statement required to be filed [within] <u>not later than</u> forty-five days following any election or referendum not held in November or on the seventh calendar day in January, or the next business day if such day is a Saturday, Sunday or legal holiday, following an election or referendum held in November, or [within] not later than thirty days following any primary shall be the last required statement.
- Sec. 6. (NEW) (Effective from passage, and applicable to elections held in 2008, and thereafter) As used in sections 1 and 6 to 21, inclusive, of this act:

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214 (1) "Commission" means the State Elections Enforcement 215 Commission.

- 216 (2) "Convention" has the same meaning as provided in section 9-372 of the general statutes.
- 218 (3) "Depository account" means the single checking account at the 219 depository institution designated as the depository for the candidate 220 committee's moneys in accordance with the provisions of subsection 221 (a) of section 9-333f of the general statutes.
 - (4) "Eligible petitioning party candidate" means a candidate for election to an office pursuant to part III C of chapter 153 of the general statutes whose nominating petition has been approved by the Secretary of the State pursuant to subsection (c) of section 9-4530 of the general statutes.
- 227 (5) "Fund" means the Citizens' Election Fund established in section 1 228 of this act.
- 229 (6) "General election campaign" means (A) in the case of a candidate 230 nominated at a primary, the period beginning on the day following the 231 primary and ending on the date the campaign treasurer files the final 232 statement for such campaign pursuant to section 9-333j of the general 233 statutes, as amended by this act, or (B) in the case of a candidate 234 nominated without a primary, the period beginning on the day 235 following the day on which the candidate is nominated and ending on 236 the date the campaign treasurer files the final statement for such 237 campaign pursuant to section 9-333j of the general statutes, as 238 amended by this act.
- (7) "Major party" has the same meaning as provided in section 9-372of the general statutes.
- 241 (8) "Minor party" has the same meaning as provided in section 9-372 of the general statutes.
- 243 (9) "Primary campaign" means the period beginning on the day

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following the close of a convention and ending on the day of a primary held for the purpose of nominating a candidate for an office.

- (10) "Qualified candidate committee" means a candidate committee
 (A) established to aid or promote the success of any candidate for
 nomination or election to a state office, and (B) approved by the
 commission to receive a grant from the Citizens' Election Fund under
 section 11 of this act.
- 251 (11) "State office" means the office of Governor, Lieutenant 252 Governor, Attorney General, State Comptroller, State Treasurer, 253 Secretary of the State, state senator or state representative.
 - (12) "Party dominant district" means a state senate or state house of representative district where one major party holds a registration advantage of twenty per cent or more over the other major party.
- 257 Sec. 7. (NEW) (Effective from passage, and applicable to elections held in 258 2008, and thereafter) (a) There is established a Citizens' Election 259 Program under which (1) the candidate committee of a major party or 260 minor party candidate for nomination to the office of state senator or 261 state representative in 2008, or thereafter, or the office of Governor, 262 Lieutenant Governor, Attorney General, State Comptroller, Secretary 263 of the State or State Treasurer in 2010, or thereafter, may receive a 264 grant from the Citizens' Election Fund for the candidate's primary 265 campaign for said nomination, and (2) the candidate committee of a 266 candidate who is nominated by a major party or a minor party, or the 267 candidate committee of an eligible petitioning party candidate, for 268 election to the office of state senator or state representative in 2008, or 269 thereafter, or the office of Governor, Attorney General, State 270 Comptroller, Secretary of the State or State Treasurer in 2010, or 271 thereafter, may receive a grant from the fund for the candidate's 272 general election campaign for said office.
- (b) Any such candidate committee is eligible to receive such grants for a primary campaign, if applicable, and a general election campaign if (1) the candidate certifies as a participating candidate under section

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11 of this act, (2) the candidate's candidate committee receives the required amount of qualifying contributions under section 9 of this act, (3) the candidate's candidate committee returns all contributions that do not meet the criteria for qualifying contributions under section 9 of this act, (4) the candidate's exploratory committee, if any, returns all contributions that do not meet the criteria for qualifying contributions to a candidate committee under section 9 of this act, (5) the candidate agrees to limit the campaign expenditures of the candidate's candidate committee in accordance with the provisions of subdivision (1) of subsection (c) of this section, and (6) the candidate submits an application and the commission approves the application in accordance with the provisions of section 11 of this act.

(c) (1) A candidate participating in the Citizens' Election Program shall limit the campaign expenditures of the candidate's candidate committee (A) before a primary campaign and a general election campaign, to the amount of qualifying contributions permitted in section 9 of this act, (B) for a primary campaign, to the sum of the amount of qualifying contributions permitted in section 9 of this act that have not been spent before the primary campaign, the amount of the grant for the primary campaign authorized under section 10 of this act and, in the case of a candidate for the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, the total amount of contributions permitted in section 9-333s of the general statutes, as amended by this act, from the state central committee for the party in which the candidate is enrolled and all town committees, and (C) for a general election campaign, to the sum of the amount of qualifying contributions permitted in section 9 of this act that have not been spent before the general election campaign, any unexpended funds from any grant for a primary campaign, the amount of the grant for the general election campaign authorized under section 10 of this act and, in the case of a candidate for the office of Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, the total amount of contributions permitted in section 9-333s of the general statutes, as

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amended by this act, from the state central committee for the party in which the candidate is enrolled and all town committees, which party contributions have not been spent before the general election campaign.

(2) There shall be a rebuttable presumption that any expenditure by a party committee for the benefit of the candidate committee of a candidate shall be counted toward the applicable expenditure limit for such candidate committee under this subsection, except for any expenditures by a party committee that benefits all candidates nominated by the party. The State Elections Enforcement Commission shall adopt regulations, in accordance with the provisions of chapter 54 of the general statutes, to carry out the purposes of this subdivision.

Sec. 8. (NEW) (Effective from passage, and applicable to elections held in 2008, and thereafter) (a) Each candidate for nomination or election to a state office in 2008, or thereafter, shall file an affidavit with the State Elections Enforcement Commission at the same time that the candidate files either a committee statement under subsection (a) of section 9-333f of the general statutes or a certification under subsection (b) of section 9-333f of the general statutes. The affidavit shall include a written certification that the candidate either intends to abide by the expenditure limits under the Citizens' Election Program set forth in subdivision (1) of subsection (c) of section 7 of this act, or does not intend to abide by said limits. If the candidate intends to abide by said limits, the affidavit shall also include written certifications (1) that the campaign treasurer of the candidate committee for said candidate shall expend any moneys received from the Citizens' Election Fund in accordance with the provisions of subsection (g) of section 9-333i of the general statutes and guidelines adopted by the State Elections Enforcement Commission under subsection (e) of section 11 of this act, (2) that the candidate shall repay to the fund any such moneys that are not expended in accordance with subsection (g) of said section 9-333i and said guidelines, and (3) stating the candidate's status as a major party, minor party or petitioning candidate and, in the case of a major party or minor party candidate, the name of such party. No candidate

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who changes such status or becomes a candidate of a different party during a campaign shall be eligible to receive a grant under the Citizens' Election Program during the campaigns for which the affidavit is filed.

- (b) A candidate who so certifies the candidate's intent to abide by the expenditure limits under the Citizens' Election Program set forth in subdivision (1) of subsection (c) of section 7 of this act shall be referred to in sections 6 to 21, inclusive, of this act as a "participating candidate" and a candidate who so certifies the candidate's intent to not abide by said limits shall be referred to in said sections 6 to 21, inclusive, as a "nonparticipating candidate". The commission shall prepare a list of the participating candidates and a list of the nonparticipating candidates and shall make such lists available for public inspection.
- Sec. 9. (NEW) (Effective from passage, and applicable to elections held in 2008, and thereafter) (a) The amount of qualifying contributions which the candidate committee of a candidate shall be required to receive in order to be eligible for grants from the Citizens' Election Fund shall be:
- (1) In the case of a candidate for nomination or election to the office of Governor, contributions from individuals in the aggregate amount of two hundred fifty thousand dollars, of which two hundred twenty-five thousand dollars or more is contributed by individuals residing in the state, provided (A) the candidate committee shall return the portion of any contribution or contributions from an individual other than such candidate that exceeds one hundred dollars, and such excess portion shall not be considered in calculating such amounts, and (B) all contributions received by an exploratory committee that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating such amounts.
- (2) In the case of a candidate for nomination or election to the office of Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State, contributions from individuals in the aggregate amount of seventy-five thousand dollars, of which sixty-

seven thousand five hundred dollars or more is contributed by individuals residing in the state, provided (A) the candidate committee shall return the portion of any contribution or contributions from an individual other than such candidate that exceeds one hundred dollars, and such excess portion shall not be considered in calculating such amounts, and (B) all contributions received by an exploratory committee that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating such amounts.

- (3) In the case of a candidate for nomination or election to the office of state senator, contributions from individuals in the aggregate amount of fifteen thousand dollars, of which three hundred contributions shall be made by individuals residing in the state senate district, provided (A) the candidate committee shall return the portion of any contribution or contributions from an individual other than such candidate that exceeds one hundred dollars, and such excess portion shall not be considered in calculating such amounts, and (B) all contributions received by an exploratory committee that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating such amounts.
- (4) In the case of a candidate for nomination or election to the office of state representative, contributions from individuals in the aggregate amount of five thousand dollars, of which one hundred fifty contributions shall be made by individuals residing in the state representative district, provided (A) the candidate committee shall return the portion of any contribution or contributions from an individual other than such candidate that exceeds one hundred dollars, and such excess portion shall not be considered in calculating such amounts, and (B) all contributions received by an exploratory committee that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating such amounts.
- 408 (b) After a candidate committee receives the applicable aggregate

amount of qualifying contributions under subsection (a) of this section, the candidate committee shall return any additional contributions that it receives.

- (c) Each individual who makes a contribution to a candidate committee established to aid or promote the success of a participating candidate for nomination or election to a state office shall include with the contribution a certification that (1) neither the individual nor any member of the immediate family of the individual is a lobbyist, and (2) neither the individual, any member of the immediate family of the individual nor an associated business of the individual or any such immediate family member has a contract with the state. A contribution from (A) a lobbyist or a member of the immediate family of a lobbyist, or (B) an individual who has a contract with the state, any member of the immediate family of such individual, or an associated business of such individual or any such immediate family member shall not be deemed to be a qualifying contribution under subsection (a) of this section and shall be returned by the candidate committee. As used in this subsection, "immediate family" means any spouse or child of an individual or any dependent relatives who reside in the individual's household.
- (d) Each individual who makes a contribution to a candidate committee established to aid or promote the success of a participating candidate for nomination or election to a state office shall include the individual's name and address with the contribution. A contribution (1) from an individual that does not include such information, or (2) from an individual who does not reside in the state, in excess of the applicable limit on contributions from nonresidents in subsection (a) of this section, shall not be deemed to be a qualifying contribution under subsection (a) of this section and shall be returned by the candidate committee.
- Sec. 10. (NEW) (Effective from passage, and applicable to elections held in 2008, and thereafter) (a) (1) The qualified candidate committee of a major party or minor party candidate for the office of Governor who

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has a primary for nomination to said office shall be eligible to receive a grant from the Citizens' Election Fund for the primary campaign in the amount of one million two hundred fifty thousand dollars, provided, in the case of a primary held in 2014, or thereafter, said amount shall be adjusted under subsection (c) of this section.

- (2) The qualified candidate committee of a major party or minor party candidate for the office of Governor who is nominated shall be eligible to receive a grant from the fund for the general election campaign in the amount of three million dollars, provided (A) in the case of an election held in 2014, or thereafter, said amount shall be adjusted under subsection (c) of this section, and (B) if a candidate is nominated at a primary and does not expend the entire grant from the fund for the primary campaign, the amount of the grant for the general election campaign shall be reduced by the amount of such unexpended primary grant funds.
- (3) The qualified candidate committee of an eligible petitioning party candidate for the office of Governor shall be eligible to receive a grant from the fund for the general election campaign in the amount of three million dollars, provided in the case of an election held in 2014, or thereafter, said amount shall be adjusted under subsection (c) of this section.
- (b) (1) The qualified candidate committee of a major party or minor party candidate for the office of Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer who has a primary for nomination to said office shall be eligible to receive a grant from the fund for the primary campaign in the amount of three hundred seventy-five thousand dollars, provided, in the case of a primary held in 2014, or thereafter, said amount shall be adjusted under subsection (c) of this section.
- (2) The qualified candidate committee of a candidate for the office of Attorney General, State Comptroller, Secretary of the State or State Treasurer who is nominated shall be eligible to receive a grant from

the fund for the general election campaign in the amount of seven hundred fifty thousand dollars, provided (A) in the case of an election held in 2014, or thereafter, said amount shall be adjusted under subsection (c) of this section, and (B) if a candidate is nominated at a primary and does not expend the entire grant from the fund for the primary campaign, the amount of the grant for the general election campaign shall be reduced by the amount of such unexpended primary grant funds.

- (3) The qualified candidate committee of an eligible petitioning party candidate for the office of Attorney General, State Comptroller, Secretary of the State or State Treasurer shall be eligible to receive a grant from the fund for the general election campaign in the amount of seven hundred fifty thousand dollars, provided in the case of an election held in 2014, or thereafter, said amount shall be adjusted under subsection (c) of this section.
- (c) For elections held in 2014, and thereafter, the amount of the grants in subsections (a) and (b) of this section shall be adjusted by the State Elections Enforcement Commission not later than January 15, 2014, and quadrennially thereafter, in accordance with any change in the consumer price index for all urban consumers as published by the United States Department of Labor, Bureau of Labor Statistics, during the period beginning on January 1, 2010, and ending on December thirty-first in the year preceding the year in which said adjustment is to be made.
- (d) (1) (A) The qualified candidate committee of a major party or minor party candidate for the office of state senator who has a primary for nomination to said office shall be eligible to receive a grant from the fund for the primary campaign in the amount of fifty thousand dollars, provided, in the case of a primary held in 2010, or thereafter, said amount shall be adjusted under subsection (f) of this section.
- (B) The qualified candidate committee of a major party candidate in a party dominate district for the office of state senator who has a

primary for nomination to said office shall be eligible to receive a grant from the fund for the primary campaign in the amount of eighty thousand dollars, provided, in the case of a primary held in 2010, or thereafter, said amount shall be adjusted under subsection (f) of this section.

- (2) The qualified candidate committee of a major party or minor party candidate for the office of state senator who is nominated shall be eligible to receive a grant from the fund for the general election campaign in the amount of one hundred fifty thousand dollars, provided (A) in the case of an election held in 2010, or thereafter, said amount shall be adjusted under subsection (f) of this section, and (B) if a candidate is nominated at a primary and does not expend the entire grant from the fund for the primary campaign, the amount of the grant for the general election campaign shall be reduced by the amount of such unexpended primary grant funds.
- (3) The qualified candidate committee of an eligible petitioning party candidate for the office of state senator shall be eligible to receive a grant from the fund for the general election campaign in the amount of one hundred fifty thousand dollars, provided in the case of an election held in 2010, or thereafter, said amount shall be adjusted under subsection (f) of this section.
- (e) (1) (A) The qualified candidate committee of a major party or minor party candidate for the office of state representative who has a primary for nomination to said office shall be eligible to receive a grant from the fund for the primary campaign in the amount of eight thousand dollars, provided, in the case of a primary held in 2010, or thereafter, said amount shall be adjusted under subsection (f) of this section.
- (B) The qualified candidate committee of a major party candidate in a party dominant district for the office of state representative who has a primary for nomination to said office shall be eligible to receive a grant from the fund for the primary campaign in the amount of twenty

five thousand dollars, provided, in the case of a primary held in 2010, or thereafter, said amount shall be adjusted under subsection (f) of this section.

- (2) The qualified candidate committee of a candidate for the office of state representative who is nominated shall be eligible to receive a grant from the fund for the general election campaign in the amount of twenty-five thousand dollars, provided (A) in the case of an election held in 2010, or thereafter, said amount shall be adjusted under subsection (f) of this section, and (B) if a candidate is nominated at a primary and does not expend the entire grant from the fund for the primary campaign, the amount of the grant for the general election campaign shall be reduced by the amount of such unexpended primary grant funds.
 - (3) The qualified candidate committee of an eligible petitioning party candidate for the office of state representative shall be eligible to receive a grant from the fund for the general election campaign in the amount of twenty-five thousand dollars, provided in the case of an election held in 2010, or thereafter, said amount shall be adjusted under subsection (f) of this section.
- (f) For elections held in 2010, and thereafter, the amount of the grants in subsections (d) and (e) of this section shall be adjusted by the State Elections Enforcement Commission not later than January 15, 2010, and biennially thereafter, in accordance with any change in the consumer price index for all urban consumers as published by the United States Department of Labor, Bureau of Labor Statistics, during the period beginning on January 1, 2008, and ending on December thirty-first in the year preceding the year in which said adjustment is to be made.
- (g) No grant under this section may be applied to a deficit incurred by a candidate committee.
- 568 (h) No participating candidate who is unopposed in a general election may receive more than thirty per cent of the grant.

(i) Participating candidates who are not opposed by a major party candidate and are opposed by a non-participating minor party candidate who raises less than thirty per cent of the applicable grant amount shall receive sixty per cent of the grant.

Sec. 11. (NEW) (Effective from passage, and applicable to elections held in 2008, and thereafter) (a) (1) A candidate for nomination to the office of state senator or state representative in 2008, or thereafter, or the office Attorney General, State Governor, Lieutenant Governor, Comptroller, Secretary of the State or State Treasurer in 2010, or thereafter, may apply to the State Elections Enforcement Commission for a grant from the fund under the Citizens' Election Program for a primary campaign, after the close of the state convention of the candidate's party that is called for the purpose of choosing candidates for nomination for the office that the candidate is seeking, if a primary is required under chapter 153 of the general statutes, and (A) said party endorses the candidate for the office that the candidate is seeking, (B) the candidate receives at least fifteen per cent of the votes of the convention delegates present and voting on any roll-call vote taken on the endorsement or proposed endorsement of a candidate for the office the candidate is seeking, or (C) the candidate circulates a petition and obtains the required number of signatures for filing a candidacy for nomination for said office pursuant to section 9-400 of the general statutes.

(2) A candidate for election to the office of state senator or state representative in 2008, or thereafter, or the office of Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer in 2010, or thereafter, may apply to the State Elections Enforcement Commission for a grant from the fund under the Citizens' Election Program for a general election campaign, (A) after the close of the state convention of the candidate's party that is called for the purpose of choosing candidates for nomination for the office that the candidate is seeking, if (i) said party endorses said candidate for the office that the candidate is seeking and no other candidate of said party files a certificate of candidacy with the Secretary of the State in

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accordance with the provisions of section 9-400 of the general statutes, (ii) the candidate receives at least fifteen per cent of the votes of the convention delegates present and voting on any roll-call vote taken on the endorsement or proposed endorsement of a candidate for the office the candidate is seeking, no other candidate for said office at such convention either receives the party endorsement or said percentage of said votes for said endorsement or files a certificate of endorsement with the Secretary of the State in accordance with the provisions of section 9-388 of the general statutes or a certificate of candidacy with the Secretary of the State in accordance with the provisions of section 9-400 of the general statutes, and no other candidate for said office circulates a petition and obtains the required number of signatures for filing a candidacy for nomination for said office pursuant to section 9-400 of the general statutes, or (iii) the candidate circulates a petition and obtains the required number of signatures for filing a candidacy for nomination for said office pursuant to section 9-400 of the general statutes and no other candidate for said office at such convention either receives the party endorsement or said percentage of said votes for said endorsement or files a certificate of endorsement with the Secretary of the State in accordance with the provisions of section 9-388 of the general statutes or a certificate of candidacy with the Secretary of the State in accordance with the provisions of section 9-400 of the general statutes, (B) after any primary held by such party for nomination for said office, if the Secretary of the State declares that the candidate is the party nominee in accordance with the provisions of section 9-440 of the general statutes, or (C) in the case of a petitioning party candidate, after approval by the Secretary of the State of such candidate's nominating petition pursuant to subsection (c) of section 9-4530 of the general statutes.

- (b) The application shall include a written certification that:
- (1) The candidate committee has received the required amount of qualifying contributions;
- 636 (2) The candidate committee has repaid all moneys borrowed on

637 behalf of the campaign, as required by subsection (b) of section 15 of 638 this act:

- 639 (3) The candidate committee has returned any contribution from an 640 individual who does not include the individual's name and address 641 with the contribution;
 - (4) The candidate committee and exploratory committee have returned all contributions or portions of contributions that do not meet the criteria for qualifying contributions under section 9 of this act;
 - (5) The campaign treasurer of the candidate committee shall comply with the provisions of sections 1 and 6 to 21, inclusive, of this act;
- 647 (6) All moneys received from the Citizens' Election Fund shall be 648 deposited upon receipt into the depository account of the candidate 649 committee;
 - (7) The campaign treasurer of the candidate committee shall expend all moneys received from the fund in accordance with the provisions of subsection (g) of section 9-333i of the general statutes and guidelines adopted by the State Elections Enforcement Commission under subsection (e) of this section; and
 - (8) If the candidate withdraws from the campaign, becomes ineligible or dies during the campaign, the candidate committee of the candidate shall return to the commission, for deposit in the fund, all moneys received from the fund pursuant to sections 1 and 6 to 21, inclusive, of this act which said candidate committee has not spent as of the date of such occurrence.
- 661 (c) The application shall be accompanied by a cumulative itemized 662 accounting of all funds received, expenditures made and expenses 663 incurred but not yet paid by the candidate committee as of three days 664 before the date that the application is signed. Such accounting shall be 665 sworn to under penalty of false statement by the campaign treasurer of the candidate committee. The commission shall prescribe the form of

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the application and the cumulative itemized accounting, after consulting with the Secretary of the State. The form for such accounting shall conform to the requirements of section 9-333j of the general statutes, as amended by this act. Both the candidate and the campaign treasurer of the candidate committee shall sign the application.

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(d) Not later than three business days following receipt of any such application, the commission shall review the application, determine whether the candidate committee for the applicant (1) has received the required qualifying contributions, (2) in the case of an application for a grant from the fund for a primary campaign, the applicant has met the applicable condition under subsection (a) of this section for applying for such moneys and complied with the provisions of subsections (b) and (c) of this section, and at least either one other participating candidate for nomination in the primary, from the same party and for the same office as the applicant, has also received the required qualifying contributions or at least one nonparticipating candidate for nomination in the primary, from the same party and for the same office as the applicant, has received an amount of contributions equal to the amount of such qualifying contributions, and (3) in the case of an application for a grant from the fund for a general election campaign, the applicant has met the applicable condition under subsection (a) of this section for applying for such moneys and complied with the provisions of subsections (b) and (c) of this subsection. If the commission approves an application, the commission shall determine the amount of the grant payable to the candidate committee for the applicant, from the fund, and notify the State Comptroller and the candidate of such candidate committee, of such amount. Not later than two business days following notification by the commission, the State Comptroller shall draw an order on the State Treasurer for payment of such amount to the qualified candidate committee from the fund.

(e) The State Elections Enforcement Commission shall establish guidelines on permissible expenditures under subsection (g) of section

9-333i of the general statutes for qualified candidate committees receiving grants from the fund under sections 6 to 21, inclusive, of this act.

Sec. 12. (NEW) (Effective from passage, and applicable to elections held in 2008, and thereafter) Following the initial deposit of moneys from the Citizens' Election Fund into the depository account of a qualified candidate committee, no contribution, loan, amount of the candidate's own moneys or any other moneys received by the candidate or the campaign treasurer on behalf of the committee shall be deposited into said depository account, except (1) grants from the fund, and (2) any additional moneys from the fund as provided in sections 17 and 18 of this act.

Sec. 13. (NEW) (Effective from passage, and applicable to elections held in 2008, and thereafter) A qualified candidate committee that received moneys from the Citizens' Election Fund for a primary campaign and whose candidate is the party nominee shall receive moneys from the fund for a general election campaign. Upon receiving verification from the Secretary of the State of the declaration by the Secretary of the State in accordance with the provisions of section 9-440 of the general statutes of the results of the votes cast at the primary, the State Elections Enforcement Commission shall notify the State Comptroller of the amount payable to such qualified candidate committee. Not later than two business days following notification by the commission, the State Comptroller shall draw an order on the State Treasurer for payment of the general election campaign grant to said committee from said fund.

Sec. 14. (NEW) (Effective July 1, 2005, and applicable to elections held in 2008, and thereafter) (a) For purposes of this section, expenditures made to aid or promote the success of both a candidate for nomination or election to the office of Governor and a candidate for nomination or election to the office of Lieutenant Governor jointly, shall be considered expenditures made to aid or promote the success of a candidate for nomination or election to the office of Governor. The

734 party-endorsed candidate for nomination or election to the office of 735 Lieutenant Governor and the party-endorsed candidate for nomination 736 or election to the office of Governor shall be deemed to be aiding or 737 promoting the success of both candidates jointly upon the earliest of the following: (1) The primary, whether held for the office of Governor, 738 739 the office of Lieutenant Governor, or both; (2) if no primary is held for 740 the office of Governor or Lieutenant Governor, the convention; or (3) a 741 declaration by the party-endorsed candidates that they shall campaign 742 jointly. Any other candidate for nomination or election to the office of 743 Lieutenant Governor shall be deemed to be aiding or promoting the 744 success of such candidacy for the office of Lieutenant Governor and 745 the success of a candidate for nomination or election to the office of 746 Governor jointly upon a declaration by the candidates that they shall 747 campaign jointly.

(b) The candidate committee formed to aid or promote the success of a candidate for nomination or election to the office of Lieutenant Governor, the candidate of which campaigns jointly with a candidate for nomination or election to the office of Governor, shall be dissolved as of the applicable date set forth in subsection (a) of this section. Not later than fifteen days after the applicable date set forth in subsection (a) of this section, the campaign treasurer of the candidate committee formed to aid or promote the success of said candidate for nomination or election to the office of Lieutenant Governor shall file a statement with the proper authority under section 9-333e of the general statutes, as amended by this act, identifying all contributions received or expenditures made by the committee since the previous statement and the balance on hand or deficit, as the case may be. Not later than thirty days after the applicable date set forth in subsection (a) of this section, (1) the campaign treasurer of a qualified candidate committee formed to aid or promote the success of said candidate for nomination or election to the office of Lieutenant Governor shall distribute any surplus to the fund, and (2) the campaign treasurer of a nonqualified candidate committee formed to aid or promote the success of said candidate for nomination or election to the office of Lieutenant

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Governor shall distribute such surplus in accordance with the provisions of subsection (e) of section 9-333j of the general statutes, as amended by this act.

Sec. 15. (NEW) (Effective from passage, and applicable to elections held in 2008, and thereafter) (a) A qualified candidate committee may borrow moneys on behalf of a campaign for a primary or a general election from one or more financial institutions, as defined in section 36a-41 of the general statutes, in an aggregate amount not to exceed, (1) for Governor, twenty thousand dollars; (2) for Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, ten thousand dollars; (3) for state senator, two thousand dollars; and (4) for state representative, one thousand dollars. The amount borrowed shall not constitute a qualifying contribution. No individual, political committee or party committee, except the candidate or, in a general election, the state central committee of a political party, shall endorse or guarantee such a loan in an aggregate amount in excess of five hundred dollars. An endorsement or guarantee of such a loan shall constitute a contribution by such individual or committee for so long as the loan is outstanding. The amount endorsed or guaranteed by such individual or committee shall cease to constitute a contribution upon repayment of the amount endorsed or guaranteed.

(b) All such loans shall be repaid in full prior to the date a candidate committee applies for the moneys from the Citizens' Election Fund pursuant to section 11 of this act. A candidate who fails to repay such loans or fails to certify such repayment to the State Elections Enforcement Commission shall not be eligible to receive and shall not receive moneys from the fund.

Sec. 16. (NEW) (Effective from passage, and applicable to elections held in 2008, and thereafter) (a) A qualified candidate committee that receives a grant from the Citizens' Election Fund pursuant to section 11 of this act and makes expenditures in excess of the sum of an expenditure limit set forth in subdivision (1) of subsection (c) of section 7 of this act and

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the amount any additional moneys the candidate committee receives from the fund under section 14 or 15 of this act, (1) shall repay to the fund the full amount of such grant and moneys, (2) shall not receive any additional moneys from the fund for the remainder of the election cycle, (3) shall be subject to civil penalties under section 9-7b of the general statutes, as amended by this act, and (4) shall be deemed to be a nonparticipating candidate for the purposes of sections 1 and 6 to 21, inclusive, of this act.

- (b) A candidate whose candidate committee fails to return any surplus grant funds to the fund not later than ninety days after a primary or an election, whichever is applicable shall be subject to the penalties for larceny under sections 53a-122 to 53a-125b, inclusive, of the general statutes depending on the amount involved.
- Sec. 17. (NEW) (Effective from passage, and applicable to elections held in 2008, and thereafter) (a) Additional moneys from the Citizens' Election Fund shall be paid to a qualified candidate committee that received moneys from the fund if the committee of an opposing candidate makes expenditures in excess of an expenditure limit set forth in subdivision (1) of subsection (c) of section 7 of this act. Such additional moneys from the fund shall be paid to a qualified candidate committee that received moneys from the fund (1) regardless of whether the candidate committee that makes expenditures in excess of the applicable expenditure limit has received moneys from the fund, (2) in an amount equal to the greatest amount of expenditures in excess of the applicable expenditure limit that the committee of an opposing candidate has made, but not more than one hundred per cent of the amount of moneys that the qualified candidate committee has received from the fund for the primary campaign or general election campaign for which such excess expenditures are made, and (3) immediately following the State Elections Enforcement Commission's verification that the committee of an opposing candidate has made expenditures in excess of the applicable expenditure limit.
- (b) If a nonparticipating candidate makes or incurs the obligation to

834 make an excess expenditure more than twenty days before the day of a 835 primary or election, the candidate shall file a declaration of excess 836 expenditures not later than forty-eight hours after making or incurring 837 the expenditure. If a nonparticipating candidate makes or incurs the 838 obligation to make an excess expenditure twenty days or less before 839 the day of a primary or election, the candidate shall file a declaration of 840 excess expenditures not later than twenty-four hours after making or incurring the expenditure. The commission may determine whether 842 any expenditure by a nonparticipating candidate shall be deemed an 843 excess expenditure.

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Sec. 18. (NEW) (Effective from passage, and applicable to elections held in 2008, and thereafter) Upon the receipt of a report under subsection (e) of section 9-333n of the general statutes, as amended by this act, that an independent expenditure has been made or obligated to be made, with the intent to promote the defeat of a candidate whose candidate committee has received a grant under the Citizens' Election Program, the State Elections Enforcement Commission shall immediately notify the State Comptroller that additional money, equal to the amount of the independent expenditure, shall be paid to said candidate committee. Not later than two business days following notification by the commission, the State Comptroller shall draw an order on the State Treasurer for payment of such amount to said candidate committee from the Citizens' Election Fund. The provisions of this section shall be subject to the following:

- (1) The maximum aggregate amount of funding that the qualified candidate committee of a participating candidate shall receive to match the independent expenditures made or obligated to be made on behalf of an opposing participating candidate shall not be greater than one hundred per cent of the total moneys that said candidate committee has received from the fund for the primary campaign or general election campaign for which such independent expenditures are made or obligated to be made.
- 866 (2) The maximum aggregate amount of funding that the qualified

candidate committee of a participating candidate shall receive to match the independent expenditures and the excess expenditures of a nonparticipating candidate shall not be greater than two hundred per cent of the total moneys that said candidate committee has received from the fund for the primary campaign or general election campaign for which such independent expenditures and excess expenditures are made or obligated to be made.

- (3) The additional moneys under this section to match independent expenditures shall be granted to the qualified candidate committee of a participating candidate opposed by a nonparticipating candidate only if the nonparticipating candidate's campaign expenditures, combined with the amount of the independent expenditures, exceed the applicable permitted expenditure amount for the participating candidate, during the primary campaign or the general election campaign.
- (4) If a participating candidate receives additional moneys under this section to match independent expenditures made during a primary campaign and such candidate does not spend all of such additional moneys during such campaign, the candidate may carry over the moneys to the general election campaign. In such case, the general election grant shall be reduced by the amount of such moneys carried over.
- Sec. 19. (NEW) (Effective from passage, and applicable to elections held in 2008, and thereafter) The campaign treasurer for each candidate for election to state office in 2008, or thereafter shall file campaign finance statements with the office of the Secretary of the State (1) according to the same schedules as required of a campaign treasurer of a candidate committee under section 9-333j of the general statutes, as amended by this act, until receiving contributions, receipts and grants totaling seventy-five per cent of the applicable expenditure limit for a general election campaign, as set forth in subdivision (1) of subsection (c) of section 7 of this act, and (2) then, notwithstanding said schedule in section 9-333j of the general statutes, as amended by this act, on the

second Thursday of each month between the beginning of the fourth month preceding the day of the election for said office and the beginning of the sixth week preceding the election and then on each Thursday until the day of the election. Said statements shall be prepared in the same manner as statements required under section 9-333j of the general statutes, as amended by this act. If a campaign treasurer fails to file any statement required by this section (A) within the time required, or (B) with both the Secretary of the State and the State Elections Enforcement Commission, such campaign treasurer shall be subject to a civil penalty imposed by the commission, of not more than one thousand dollars for each such failure under subparagraph (A) or (B) of subdivisions (1) and (2) of this section.

Sec. 20. (NEW) (Effective July 1, 2005, and applicable to elections held in 2008, and thereafter) The Secretary of the State shall provide to each committee whose candidate has filed an affidavit under subsection (a) of section 8 of this act certifying that the candidate intends to abide by the applicable expenditure limits under the Citizens' Election Program, a copy of the voter registration list for the state, which is generated from the state-wide centralized voter registration system established pursuant to the plan authorized under section 1 of special act 91-45 and completed pursuant to section 9-50b of the general statutes. The Secretary shall provide the copy in electronic format, free of charge.

Sec. 21. (NEW) (Effective July 1, 2005, and applicable to elections held in 2008, and thereafter) (a) Not later than June 1, 2006, and annually thereafter, the State Elections Enforcement Commission shall issue a report on the status of the Citizens' Election Fund during the previous calendar year. Such report shall include the amount of moneys deposited in the fund, the sources of moneys received by category, the number of contributions, the number of contributors, the amount of moneys expended by category, the recipients of moneys distributed from the fund and an accounting of the costs incurred by the commission in administering the provisions of sections 1 and 6 to 21, inclusive, of this act.

(b) Not later than January first in any year in which a state election is to be held, the commission shall determine whether the amount of moneys in the fund is sufficient to carry out the purposes of sections 1 and 6 to 21, inclusive, of this act. If the commission determines that such amount is not sufficient to carry out such purposes, the commission shall, not later than three days after such later determination, (1) determine the percentage of the fund's obligations that can be met for such election, (2) recalculate the amount of each payment that a qualified candidate committee is entitled to receive under section 11 of this act by multiplying such percentage by the amount that such committees would have been entitled to receive under sections 1 and 6 to 21, inclusive, of this act if there were a sufficient amount of moneys in the fund, and (3) notify each such such insufficiency, percentage committee of and applicable recalculation. After a qualified candidate committee under section 11 of this act first receives any such recalculated payment, the committee may resume accepting contributions and making expenditures from such contributions, up to the highest amount of expenditures made by a nonparticipating candidate for the same nomination or primary. The commission shall also issue a report on said determination.

- (c) The commission shall establish a reserve account in the fund. The first twenty-five thousand dollars deposited in the fund during any year shall be placed in said account. The commission shall use moneys in the reserve account only during the seven days preceding a primary or an election for payments to candidates (1) whose payments were reduced under subsection (b) of this section, or (2) who are entitled to funding to match, during said seven-day period, independent expenditures pursuant to section 18 of this act.
- 961 Sec. 22. Section 9-333a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- As used in this chapter and this act:
- 964 (1) "Committee" means a party committee, political committee or a

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candidate committee organized, as the case may be, for a single primary, election or referendum, or for ongoing political activities, to aid or promote the success or defeat of any political party, any one or more candidates for public office or the position of town committee member or any referendum question.

- (2) "Party committee" means a state central committee or a town committee. "Party committee" does not mean a party-affiliated or district, ward or borough committee which receives all of its funds from the state central committee of its party or from a single town committee with the same party affiliation. Any such committee so funded shall be construed to be a part of its state central or town committee for purposes of this chapter and this act.
- (3) "Political committee" means (A) a committee organized by a business entity or organization, (B) persons other than individuals, or two or more individuals organized or acting jointly conducting their activities in or outside the state, (C) a committee established by a candidate to determine the particular public office to which [he] such candidate shall seek nomination or election, and referred to in this chapter as an exploratory committee, [or] (D) a committee established by or on behalf of a slate of candidates in a primary for the office of justice of the peace, but does not mean a candidate committee or a party committee, or (E) a legislative caucus committee.
- (4) "Candidate committee" means any committee designated by a single candidate, or established with the consent, authorization or cooperation of a candidate, for the purpose of a single primary or election and to aid or promote [his] such candidate's candidacy alone for a particular public office or the position of town committee member, but does not mean a political committee or a party committee.
- (5) "National committee" means the organization which according to the bylaws of a political party is responsible for the day-to-day operation of the party at the national level.

(6) "Organization" means all labor organizations, (A) as defined in the Labor-Management Reporting and Disclosure Act of 1959, as from time to time amended, or (B) as defined in subdivision (9) of section 31-101, employee organizations as defined in subsection (d) of section 5-270 and subdivision (6) of section 7-467, bargaining representative organizations for teachers, any local, state or national organization, to which a labor organization pays membership or per capita fees, based upon its affiliation or membership, and trade or professional associations which receive their funds exclusively from membership dues, whether organized in or outside of this state, but does not mean a candidate committee, party committee or a political committee.

(7) "Business entity" means the following, whether organized in or outside of this state: Stock corporations, banks, insurance companies, business associations, bankers associations, insurance associations, trade or professional associations which receive funds from membership dues and other sources, partnerships, joint ventures, private foundations, as defined in Section 509 of the Internal Revenue Code of 1986, or any subsequent corresponding internal revenue code of the United States, as from time to time amended; trusts or estates; corporations organized under sections 38a-175 to 38a-192, inclusive, 38a-199 to 38a-209, inclusive, and 38a-214 to 38a-225, inclusive, and chapters 594 to 597, inclusive; cooperatives, and any other association, organization or entity which is engaged in the operation of a business or profit-making activity; but does not include professional service corporations organized under chapter 594a and owned by a single individual, nonstock corporations which are not engaged in business or profit-making activity, organizations, as defined in subdivision (6) of this section, candidate committees, party committees and political committees as defined in this section. For purposes of this chapter, corporations which are component members of a controlled group of corporations, as those terms are defined in Section 1563 of the Internal Revenue Code of 1986, or any subsequent corresponding internal revenue code of the United States, as from time to time amended, shall be deemed to be one corporation.

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(8) "Individual" means a human being, a sole proprietorship, or a professional service corporation organized under chapter 594a and owned by a single human being.

- (9) "Person" means an individual, committee, firm, partnership, organization, association, syndicate, company trust, corporation, limited liability company or any other legal entity of any kind but does not mean the state or any political or administrative subdivision of the state.
- 1039 (10) "Candidate" means an individual who seeks nomination for 1040 election or election to public office whether or not such individual is 1041 elected, and for the purposes of this chapter and this act an individual 1042 shall be deemed to seek nomination for election or election if [he] such 1043 individual has (A) been endorsed by a party or become eligible for a 1044 position on the ballot at an election or primary, or (B) solicited or 1045 received contributions, made expenditures or given [his] such 1046 individual's consent to any other person to solicit or receive 1047 contributions or make expenditures with the intent to bring about his 1048 nomination for election or election to any such office. "Candidate" also 1049 means a slate of candidates which is to appear on the ballot in a primary for the office of justice of the peace. For the purposes of 1050 sections 9-333 to 9-333l, inclusive, as amended by this act, and section 9-333w, "candidate" also means an individual who is a candidate in a 1052 1053 primary for town committee members.
 - (11) "Campaign treasurer" means the individual appointed by a candidate or by the [chairman] chairperson of a party committee or a political committee to receive and disburse funds on behalf of the candidate or committee.
 - (12) "Deputy campaign treasurer" means the individual appointed by the candidate or by the [chairman] chairperson of a committee to serve in the capacity of the campaign treasurer if the campaign treasurer is unable to perform [his] the campaign treasurer's duties.
- 1062 (13) "Solicitor" means an individual appointed by a campaign

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treasurer of a committee to receive, but not to disburse, funds on behalf of the committee.

- 1065 (14) "Referendum question" means a question to be voted upon at any election or referendum, including a proposed constitutional amendment.
- 1068 (15) "Lobbyist" means a lobbyist as defined in subsection (l) of section 1-91.
- (16) "Business with which he is associated" means any business in which the contributor is a director, officer, owner, limited or general partner or holder of stock constituting five per cent or more of the total outstanding stock of any class. Officer refers only to the president, executive or senior vice-president or treasurer of such business.
 - (17) "Independent expenditure" means an expenditure that is made without the consent, knowing participation, or consultation of, a candidate or agent of the candidate committee. "Independent expenditure" does not include an expenditure (A) if there is any coordination or direction with respect to the expenditure between the candidate or the treasurer, deputy treasurer or [chairman] chairperson of [his] such candidate committee and the person making the expenditure, or (B) if, during the same election cycle, the individual making the expenditure serves or has served as the treasurer, deputy treasurer or [chairman] chairperson of the candidate committee.
- 1085 (18) "Federal account" means a depository account that is subject to 1086 the disclosure and contribution limits provided under the Federal 1087 Election Campaign Act of 1971, as amended from time to time.
- 1088 (19) "Public funds" means funds belonging to, or under the control of, the state or a political subdivision of the state.
- 1090 (20) "Legislative caucus committee" means a single committee
 1091 designated by the majority of the members of a political party who are
 1092 also state representatives or state senators, which designation is

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certified by the chairperson of the committee on the registration filed with the Secretary of the State. The committee shall be identified by the house of the General Assembly in which such legislators serve and the political party to which they belong.

(21) "State contractor" means a person, business entity or non profit organization that has an agreement or contract with the state, or any department, agency, authority, council, division, board, bureau, office, commission thereof, or any quasi-public agency, either for the rendition of personal services, or furnishing of any material, supplies equipment, or for the acquisition, sale or lease of any land or building, or which receives a grant, which separately is valued at fifty thousand dollars or more, or, is valued at one hundred thousand dollars or more in the aggregate for the fiscal year. State contractor shall not include any municipality or subdivision thereof or any state employee by reason of such employee's employment with the state.

entity or non profit organization that has submitted a bid to the state, or any department, agency, authority, council, division, board, bureau, office, commission thereof, or any quasi-public agency, either for the rendition of personal services, or furnishing of any material, supplies equipment, or for the acquisition, sale or lease of any land or building, or for a grant; or (B) an entity who is pre-qualified pursuant to 4a-100. A person, business entity or non profit organization will cease to be a prospective state contractor once the contract for which a bid was submitted is issued if such person, business entity or non profit organization was not chosen for the contract and such person, business entity or non profit organization is not pre-qualified pursuant to 4a-100.

(23) "Municipal contractor" means a person, business entity or non profit organization that has an agreement or contract with a municipality or subdivision thereof, either for the rendition of personal services, or furnishing of any material, supplies equipment, or for the acquisition, sale or lease of any land or building, or which receives a

grant, which separately is valued at fifty thousand dollars or more, or,

- is valued at one hundred thousand dollars or more in the aggregate for
- the fiscal year. Municipal contractor shall not include any employee of
- such municipality or political subdivision thereof by reason of such
- employee's employment with the municipality or political subdivision
- thereof.
- 1132 (24) "Prospective municipal contractor" means (A) a person,
- business entity or non profit organization that has submitted a bid to a
- municipality or subdivision thereof, either for the rendition of personal
- services, or furnishing of any material, supplies equipment, or for the
- 1136 acquisition, sale or lease of any land or building, or for a grant. A
- person, business entity or non profit organization will cease to be a
- prospective municipal contractor once the contract for which a bid was
- 1139 submitted is issued if such person, business entity or non profit
- organization was not chosen for the contract.
- 1141 Sec. 23. Subsection (b) of section 9-333b of the general statutes is
- repealed and the following is substituted in lieu thereof (*Effective from*
- 1143 *passage*):
- (b) As used in this chapter, "contribution" does not mean:
- 1145 (1) A loan of money made in the ordinary course of business by a
- 1146 national or state bank;
- 1147 (2) Any communication made by a corporation, organization or
- 1148 association to its members, owners, stockholders, executive or
- administrative personnel, or their families;
- 1150 (3) Nonpartisan voter registration and get-out-the-vote campaigns
- by any corporation, organization or association aimed at its members,
- owners, stockholders, executive or administrative personnel, or their
- 1153 families;
- 1154 (4) Uncompensated services provided by individuals volunteering
- 1155 their time:

(5) The use of real or personal property, and the cost of invitations, food or beverages, voluntarily provided by an individual to a candidate or on behalf of a state central or town committee, in rendering voluntary personal services for candidate or party-related activities at the individual's residence, to the extent that the cumulative value of the invitations, food or beverages provided by the individual on behalf of any single candidate does not exceed two hundred dollars with respect to any single election, and on behalf of all state central and town committees does not exceed four hundred dollars in any calendar year;

- (6) The sale of food or beverage for use in a candidate's campaign or for use by a state central or town committee at a discount, if the charge is not less than the cost to the vendor, to the extent that the cumulative value of the discount given to or on behalf of any single candidate does not exceed two hundred dollars with respect to any single election, and on behalf of all state central and town committees does not exceed four hundred dollars in a calendar year;
- (7) Any unreimbursed payment for travel expenses made by an individual who on the individual's own behalf volunteers the individual's personal services to any single candidate to the extent the cumulative value does not exceed two hundred dollars with respect to any single election, and on behalf of all state central or town committees does not exceed four hundred dollars in a calendar year;
- (8) The payment, by a party committee, political committee or an individual, of the costs of preparation, display, mailing or other distribution incurred by the committee or individual with respect to any printed slate card, sample ballot or other printed list containing the names of three or more candidates;
- (9) The donation of any item of personal property by an individual to a committee for a fund-raising affair, including a tag sale or auction, or the purchase by an individual of any such item at such an affair, to the extent that the cumulative value donated or purchased does not

- 1188 exceed fifty dollars;
- [(10) The purchase of advertising space which clearly identifies the
- 1190 purchaser, in a program for a fund-raising affair, provided the
- cumulative purchase of such space does not exceed two hundred fifty
- dollars from any single candidate or the candidate's committee with
- 1193 respect to any single election campaign or two hundred fifty dollars
- 1194 from any single party committee or other political committee in any
- calendar year if the purchaser is a business entity or fifty dollars for
- 1196 purchases by any other person;]
- [(11)] (10) The payment of money by a candidate to the candidate's
- 1198 candidate committee;
- [(12)] (11) The donation of goods or services by a business entity to a
- 1200 committee for a fund-raising affair, including a tag sale or auction, to
- the extent that the cumulative value donated does not exceed one
- 1202 hundred dollars;
- 1203 [(13)] (12) The advance of a security deposit by an individual to a
- telephone company, as defined in section 16-1, for telecommunications
- service for a committee, provided the security deposit is refunded to
- the individual;
- 1207 [(14)] (13) The provision of facilities, equipment, technical and
- 1208 managerial support, and broadcast time by a community antenna
- 1209 television company, as defined in section 16-1, for community access
- 1210 programming pursuant to section 16-331a, unless (A) the major
- 1211 purpose of providing such facilities, equipment, support and time is to
- 1212 influence the nomination or election of a candidate, or (B) such
- 1213 facilities, equipment, support and time are provided on behalf of a
- 1214 political party; or
- 1215 [(15)] (14) The sale of food or beverage by a town committee to an
- 1216 individual at a town fair, county fair or similar mass gathering held
- 1217 within the state, to the extent that the cumulative payment made by
- any one individual for such items does not exceed fifty dollars.

Sec. 24. Subsection (c) of section 9-333j of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

(c) (1) Each statement filed under subsection (a), (e) or (f) of this section shall include, but not be limited to: (A) An itemized accounting of each contribution, if any, including the full name and complete address of each contributor and the amount of the contribution; (B) in the case of anonymous contributions, the total amount received and the denomination of the bills; (C) an itemized accounting of each expenditure, if any, including the full name and complete address of each payee, including secondary payees whenever the primary or principal payee is known to include charges which the primary payee has already paid or will pay directly to another person, vendor or entity, the amount and the purpose of the expenditure, the candidate supported or opposed by the expenditure, whether the expenditure is made independently of the candidate supported or is an in-kind contribution to the candidate, and a statement of the balance on hand or deficit, as the case may be; (D) an itemized accounting of each expense incurred but not paid, provided if the expense is incurred by use of a credit card, the accounting shall include secondary payees, and the amount owed to each such payee; (E) the name and address of any person who is the guarantor of a loan to, or the cosigner of a note with, the candidate on whose behalf the committee was formed, or the campaign treasurer in the case of a party committee or a political committee or who has advanced a security deposit to a telephone company, as defined in section 16-1, for telecommunications service for a committee; [(F) for each business entity or person purchasing advertising space in a program for a fund-raising affair, the name and address of the business entity or the name and address of the person, and the amount and aggregate amounts of such purchases; (G)] (F) for each individual who contributes in excess of one hundred dollars but not more than one thousand dollars, in the aggregate, to the extent known, the principal occupation of such individual and the name of the individual's employer, if any; [(H)] (G) for each individual who

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contributes in excess of one thousand dollars in the aggregate, the principal occupation of such individual, the name of the individual's employer, if any, and a statement indicating whether the individual or a business with which he is associated has a contract with the state which is valued at more than five thousand dollars; [(I)] (H) for each itemized contribution made by a lobbyist, the spouse of a lobbyist or any dependent child of a lobbyist who resides in the lobbyist's household, a statement to that effect, if applicable; and [(J)] (I) for each individual who contributes in excess of four hundred dollars in the aggregate to or for the benefit of any candidate's campaign for nomination at a primary or election to the office of chief executive officer of a town, city or borough, a statement indicating whether the individual or a business with which he is associated has a contract with said municipality that is valued at more than five thousand dollars. Each campaign treasurer shall include in such statement (i) an itemized accounting of the receipts and expenditures relative to any testimonial affair held under the provisions of section 9-333k or any other fund-raising affair, which is referred to in subsection (b) of section 9-333b, as amended by this act, and (ii) the date, location and a description of the affair.

(2) Each contributor described in subparagraph [(G), (H), (I) or (J)] (F), (G), (H) or (I) of subdivision (1) of this subsection shall, at the time the contributor makes such a contribution, provide the information which the campaign treasurer is required to include under said subparagraph in the statement filed under subsection (a), (e) or (f) of this section. Notwithstanding any provision of subdivision (2) of section 9-7b, any contributor described in subparagraph [(G)] (F) of subdivision (1) of this subsection who does not provide such information at the time the contributor makes such a contribution and any treasurer shall not be subject to the provisions of subdivision (2) of section 9-7b. If a campaign treasurer receives a contribution from an individual which separately, or in the aggregate, is in excess of one thousand dollars and the contributor has not provided the information required by [said] subparagraph [(H)] (G) of subdivision (1) of this

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subsection or if a campaign treasurer receives a contribution from an individual to or for the benefit of any candidate's campaign for nomination at a primary or election to the office of chief executive officer of a town, city or borough, which separately, or in the aggregate, is in excess of four hundred dollars and the contributor has not provided the information required by [said] subparagraph [(])] (I) of subdivision (1) of this subsection, the campaign treasurer: (i) Within three business days after receiving the contribution, shall send a request for such information to the contributor by certified mail, return receipt requested; (ii) shall not deposit the contribution until the campaign treasurer obtains such information from the contributor, notwithstanding the provisions of section 9-333h; and (iii) shall return the contribution to the contributor if the contributor does not provide the required information within fourteen days after the treasurer's written request or the end of the reporting period in which the contribution was received, whichever is later. Any failure of a contributor to provide the information which the campaign treasurer is required to include under [said] subparagraph [(G) or (I)] (F) or (H) of subdivision (1) of this subsection, which results in noncompliance by the campaign treasurer with the provisions of said subparagraph [(G) or (I)] (F) or (H) of subdivision (1) of this subsection, shall be a complete defense to any action against the campaign treasurer for failure to disclose such information.

- (3) Contributions from a single individual to a campaign treasurer in the aggregate totaling thirty dollars or less need not be individually identified in the statement, but a sum representing the total amount of all such contributions made by all such individuals during the period to be covered by such statement shall be a separate entry, identified only by the words "total contributions from small contributors".
- 1316 (4) Statements filed in accordance with this section shall remain 1317 public records of the state for five years from the date such statements 1318 are filed.
- 1319 Sec. 25. Subsection (g) of section 9-333l of the general statutes is

repealed and the following is substituted in lieu thereof (*Effective from passage*):

- (g) As used in this subsection, "immediate family" means any spouse or dependent child who resides in a lobbyist's household. Each lobbyist who is an individual and, in conjunction with members of his immediate family, makes contributions to or purchases from committees exceeding one thousand dollars in the aggregate during the twelve-month period beginning July 1, 1993, or July first in any year thereafter, shall file a statement, sworn under penalty of false statement, with the Secretary of the State in accordance with the provisions of section 9-333e, on the second Thursday in July following the end of such twelve-month period. The statement shall include: (1) The name of each committee to which the lobbyist or a member of his immediate family has made a contribution and the amount and date of each such contribution; and (2) the name of each committee from which the lobbyist or member of his immediate family has purchased any item of property [or advertising space in a program] in connection with a fund-raising event which is not considered a contribution under subsection (b) of section 9-333b, as amended by this act, and the amount, date and description of each such purchase. Each lobbyist who is an individual and who, in conjunction with members of his immediate family, does not make contributions to or purchases from committees exceeding one thousand dollars in the aggregate during any such twelve-month period shall file a statement, sworn under penalty of false statement, with the Secretary of the State in accordance with the provisions of section 9-333e, on the second Thursday in July, so indicating.
- Sec. 26. Subsection (e) of section 9-333l of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- (e) For purposes of this subsection and subsection (f) of this section, the exclusions to the term "contribution" in subsection (b) of section 9-332 333b, as amended by this act, shall not apply; the term "state office"

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means the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State; and the term "state officer" means the Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State. Notwithstanding any provision of this chapter to the contrary, during any regular session of the General Assembly, during any special session of the General Assembly held between the adjournment of the regular session in an odd-numbered year and the convening of the regular session in the following even-numbered year or during any reconvened session of the General Assembly held in an odd-numbered year to reconsider vetoed bills, (1) no lobbyist or political committee established by or on behalf of a lobbyist shall make or offer to make a contribution to or on behalf of, and no lobbyist shall solicit a contribution on behalf of [, (A) a candidate or exploratory committee established by a candidate for nomination or election to the General Assembly or a state office or (B) a political committee (i) established for an assembly or senatorial district, (ii) established by a member of the General Assembly or a state officer or such member or officer's agent, or in consultation with, or at the request or suggestion of, any such member, officer or agent, or (iii) controlled by such member, officer or agent, to aid or promote the nomination or election of any candidate or candidates to the General Assembly or a state office] <u>a committee</u>, and (2) no such [candidate or political] committee shall accept such a contribution. [The provisions of this subsection shall not apply to a candidate committee established by a member of the General Assembly or a candidate for nomination or election to the General Assembly, at a special election for the General Assembly, from the date on which the candidate or the chairman of the committee files the designation of a campaign treasurer and a depository institution under section 9-333d with the Secretary of the State, to the date on which the special election is held, inclusive, or to an exploratory committee established by a member of the General Assembly to promote his candidacy for an office other than the General Assembly.]

Sec. 27. Section 9-333n of the general statutes is repealed and the

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following is substituted in lieu thereof (*Effective from passage*):

(a) No individual shall make a contribution or contributions in any one calendar year in excess of five thousand dollars to the state central committee of any party, or for the benefit of such committee pursuant to its authorization or request; or one thousand dollars to a town committee of any political party, or for the benefit of such committee pursuant to its authorization or request; or one thousand dollars to a political committee other than (1) a political committee formed solely to aid or promote the success or defeat of a referendum question, (2) an exploratory committee, (3) a political committee established by an organization, or for the benefit of such committee pursuant to its authorization or request, or (4) a political committee formed by a slate of candidates in a primary for the office of justice of the peace of the same town.

(b) No individual shall make a contribution to a political committee established by an organization which receives its funds from the organization's treasury. With respect to a political committee established by an organization which has complied with the provisions of subsection (b) or (c) of section 9-333p, and has elected to receive contributions, no individual other than a member of the organization may make contributions to the committee, in which case the individual may contribute not more than five hundred dollars in any one calendar year to such committee or for the benefit of such committee pursuant to its authorization or request.

(c) In no event may any individual make contributions to a candidate committee and a political committee formed solely to support one candidate other than an exploratory committee or for the benefit of a candidate committee and a political committee formed solely to support one candidate pursuant to the authorization or request of any such committee, in an amount which in the aggregate is in excess of the maximum amount which may be contributed to the candidate.

1419 (d) Any individual may make unlimited contributions or 1420 expenditures to aid or promote the success or defeat of any referendum question, provided any individual who makes an 1422 expenditure or expenditures in excess of one thousand dollars to 1423 promote the success or defeat of any referendum question shall file 1424 statements according to the same schedule and in the same manner as 1425 is required of a campaign treasurer of a political committee under 1426 section 9-333j.

- (e) (1) Any individual acting alone may, independent of any candidate, agent of the candidate, or committee, make unlimited expenditures to promote the success or defeat of any candidate's campaign for election, or nomination at a primary, to any office or position. [, provided] Except as provided in subdivision (2) of this subsection, any individual who makes an independent expenditure or expenditures in excess of one thousand dollars to promote the success or defeat of any candidate's campaign for election, or nomination at a primary, to any such office or position shall file statements according to the same schedule and in the same manner as is required of a campaign treasurer of a candidate committee under section 9-333j, as amended by this act.
- 1439 (2) Any person who makes or obligates to make an independent 1440 expenditure, as defined in section 9-333a, as amended by this act, 1441 intended to promote the success or defeat of a candidate for (A) the 1442 office of state senator or state representative, which exceeds one 1443 thousand dollars, in the aggregate, during a primary campaign or a 1444 general election campaign, as defined in section 6 of this act, on or after 1445 January 1, 2008, or (B) the office of Governor, Lieutenant Governor, 1446 Secretary of the State, State Treasurer, State Comptroller or Attorney 1447 General, during a primary campaign or a general election campaign, as 1448 so defined, on or after January 1, 2010, shall file a report of such 1449 independent expenditure to the State Elections Enforcement 1450 Commission. The report shall be in the same form as statements filed 1451 under section 9-333j, as amended by this act. If the person makes or 1452 obligates to make such independent expenditure more than twenty

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days before the day of a primary or election, the person shall file such report not later than forty-eight hours after such payment or obligation. If the person makes or obligates to make such independent expenditure twenty days or less before the day of a primary or election, the person shall file such report not later than twenty-four hours after such payment or obligation. The report shall be filed under penalty of false statement.

- (3) The independent expenditure report in subdivision (2) of this subsection shall include a statement (A) identifying the candidate for whom the independent expenditure is intended to promote the success or defeat, and (B) affirming that the expenditure is totally independent and involves no cooperation or coordination with or direction from a candidate or a political party.
- 1466 (4) Any person may file a complaint with the commission upon the
 1467 belief that (A) any such independent expenditure report or statement
 1468 is false, or (B) any person who is required to file an independent
 1469 expenditure report under subdivision (2) of this subsection has failed
 1470 to do so. The commission shall make a prompt determination on such
 1471 a complaint.
 - (f) (1) As used in this subsection and subsection (f) of section 9-333j, (A) "investment services" means investment legal services, investment banking services, investment advisory services, underwriting services, financial advisory services or brokerage firm services, and (B) "principal of an investment services firm" means (i) an individual who is a director of or has an ownership interest in an investment services firm to which the State Treasurer pays compensation, expenses or fees or issues a contract, except for an individual who owns less than five per cent of the shares of an investment services firm which is a publicly traded corporation, (ii) an individual who is employed by such an investment services firm as president, treasurer, or executive or senior vice president, (iii) an employee of such an investment services firm who has managerial or discretionary responsibilities with respect to any investment services provided to the State Treasurer, (iv)

the spouse or a dependent child of an individual described in this subparagraph, or (v) a political committee established by or on behalf of an individual described in this subparagraph.

- (2) No principal of an investment services firm shall make a contribution to, or solicit contributions on behalf of, an exploratory committee or candidate committee established by a candidate for nomination or election to the office of State Treasurer during the term of office of the State Treasurer who pays compensation, expenses or fees or issues a contract to such firm.
- (3) Neither the State Treasurer, the Deputy State Treasurer, any unclassified employee of the office of the State Treasurer acting on behalf of the State Treasurer or Deputy State Treasurer, any candidate for the office of State Treasurer, any member of the Investment Advisory Council established under section 3-13b nor any agent of any such candidate may solicit contributions on behalf of an exploratory committee or candidate committee established by a candidate for nomination or election to any public office, a political committee or a party committee, from a principal of an investment services firm, except that the prohibition in this subsection shall not apply to an incumbent State Treasurer who establishes an exploratory committee or candidate committee for any public office other than State Treasurer.
- (4) No member of the Investment Advisory Council appointed under section 3-13b shall make a contribution to, or solicit contributions on behalf of, an exploratory committee or candidate committee established by a candidate for nomination or election to the office of State Treasurer.
- (5) The provisions of this subsection shall not restrict an individual from establishing an exploratory or candidate committee for the individual's own campaign or from soliciting contributions for such committees from persons not prohibited from making contributions under this subsection.

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(g) (1) No individual who is an officer, director, manager, owner, limited or general partner or holder of stock constituting five per cent or more of the total outstanding stock of any class of a business or entity which is a state contractor or a prospective state contractor, and has substantial policy or decision-making authority related to the administration of said contract, or a political committee established by such business, or such individual's spouse or dependent child shall make a contribution or contributions to, for the benefit of, or pursuant to the authorization or request of, (A) a candidate or a committee supporting or opposing any candidate's campaign for nomination at a primary, or any candidate's campaign for election, to the office of Governor; Lieutenant Governor, Secretary of the State, State Comptroller or Attorney General; State Treasurer, with regard to individuals not subject to the prohibition under subdivision (2) of subsection (f) of this section; state senator; state representative; (B) an exploratory committee; (C) a legislative caucus committee; or (D) a political committee established by (i) a state officer, or such officer's agent; (ii) a candidate for state office, or such candidate's agent; (iii) a member of the General Assembly or such member's agent; or (iv) a candidate for nomination or election to the General Assembly, or such candidate's agent.

- 1539 (2) If any said individual or political committee makes such a 1540 contribution, the state contractor or prospective state contractor shall be prohibited from being awarded a state contract, or an extension or an amendment to a state contract, for one year after the election for which said contribution is made.
- 1544 (3) No such candidate or committee shall accept such a contribution.
- 1545 (h) (1) No individual who is an officer, director, manager, owner, 1546 limited or general partner or holder of stock constituting five per cent 1547 or more of the total outstanding stock of any class of a business or 1548 entity which is a state contractor or a prospective state contractor, and has substantial policy or decision-making authority related to the 1549 1550 administration of said contract, or a political committee established by

such business, or such individual's spouse or dependent child, may solicit a contribution or contributions to, for the benefit of, or pursuant to the authorization or request of (A) a candidate or a committee supporting or opposing any candidate's campaign for nomination at a primary, or any candidate's campaign for election, to the office of Governor, Lieutenant Governor, Secretary of the State, State Comptroller or Attorney General, State Treasurer, with regard to individuals not subject to the prohibition under subdivision (2) of subsection (f) of this section, state senator, state representative; (B) an exploratory committee; (C) a legislative caucus committee; or (D) a political committee established by (i) a state officer, or such officer's agent; (ii) a candidate for state office, or such candidate's agent; (iii) a member of the General Assembly or such member's agent; or (iv) a candidate for nomination or election to the General Assembly, or such candidate's agent.

- (2) If any said individual or political committee makes such a solicitation, the state contractor or prospective state contractor shall be prohibited from being awarded a state contract, or an extension or an amendment to a state contract, for one year after the election for which said contribution is made.
- (i) (1) No lobbyist, spouse of a lobbyist, or dependent child of a lobbyist shall make a contribution or contributions to, for the benefit of, or pursuant to the authorization or request of (A) a candidate or a committee supporting or opposing any candidate's campaign for nomination at a primary, or any candidate's campaign for election, to the office of Governor, Lieutenant Governor, Secretary of the State, State Comptroller or Attorney General, State Treasurer, with regard to individuals not subject to the prohibition under subdivision (2) of subsection (f) of this section, state senator, state representative; (B) an exploratory committee; (C) a legislative caucus committee; or (D) a political committee established by (i) a state officer, or such officer's agent; (ii) a candidate for state office, or such candidate's agent; (iii) a member of the General Assembly or such member's agent; or (iv) a candidate for nomination or election to the General Assembly, or such

- 1585 <u>candidate's agent.</u>
- 1586 (2) No such candidate or committee shall accept such a contribution.
- 1587 (i) No lobbyist, spouse of a lobbyist, or dependent child of a lobbyist 1588 may solicit a contribution or contributions to, for the benefit of, or pursuant to the authorization or request of, (A) a candidate or a 1589 1590 committee supporting or opposing any candidate's campaign for 1591 nomination at a primary, or any candidate's campaign for election, to 1592 the office of Governor, Lieutenant Governor, Secretary of the State, State Comptroller or Attorney General, State Treasurer, with regard to 1593 1594 individuals not subject to the prohibition under subdivision (2) of 1595 subsection (f) of this section, state senator, state representative; (B) an 1596 exploratory committee; (C) a legislative caucus committee; or (D) a 1597 political committee established by (i) a state officer, or such officer's 1598 agent; (ii) a candidate for state office, or such candidate's agent; (iii) a 1599 member of the General Assembly or such member's agent; or (iv) a 1600 candidate for nomination or election to the General Assembly, or such 1601 candidate's agent.
 - Sec. 28. Section 9-333t of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- 1604 (a) A political committee organized for ongoing political activities 1605 may make unlimited contributions to, or for the benefit of [, a party 1606 committee;] any national committee of a political party; [a candidate committee;] or a committee of a candidate for federal or out-of-state 1607 1608 office. No political committee organized for ongoing political activities 1609 shall make a contribution or contributions to, or for the benefit of, any 1610 candidate's campaign for nomination at a primary or for election to the 1611 office of: (1) Governor, in excess of five thousand dollars; (2) 1612 Lieutenant Governor, Secretary of the State, State Treasurer, State 1613 Comptroller or Attorney General, in excess of three thousand dollars; 1614 (3) state senator, chief executive officer of a town, city or borough, or judge of probate, in excess of one thousand five hundred dollars; (4) 1615 1616 state representative, in excess of seven hundred fifty dollars; (5) an

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1617 exploratory committee established by a candidate for nomination or 1618 election to any of said offices, in excess of two hundred fifty dollars; or (6) other municipal offices, in excess of three hundred seventy five 1619 1620 dollars. No such political committee shall make a contribution or 1621 contributions in excess of two thousand dollars to another political 1622 committee in any calendar year. [except that a political committee 1623 organized by a business entity may make unlimited contributions to, 1624 or for the benefit of, another political committee organized by a 1625 business entity. No political committee organized for ongoing political 1626 activities shall make a contribution in excess of two hundred fifty 1627 dollars to an exploratory committee.] No such political committee shall 1628 make a contribution or contributions in excess of seven thousand five 1629 hundred dollars to a state central committee in any calendar year. No 1630 such political committee shall make a contribution or contributions in 1631 excess of one thousand five hundred dollars to a town committee in 1632 any calendar year. If such an ongoing committee is established by an 1633 organization or a business entity, its contributions shall be subject to 1634 the limits imposed by sections 9-3330 to 9-333q, inclusive, as amended 1635 by this act. A political committee organized for ongoing political 1636 activities may make contributions to a charitable organization which is 1637 a tax-exempt organization under Section 501(c)(3) of the Internal 1638 Revenue Code, as from time to time amended, or make memorial 1639 contributions.

(b) A political committee organized for ongoing political activities may receive contributions from the federal account of a national committee of a political party, but may not receive contributions from any other account of a national committee of a political party or from a committee of a candidate for federal or out-of-state office.

(c) No member of the General Assembly or such member's agent, or a candidate for nomination or election to the General Assembly or such candidate's agent, may establish more than one political committee to aid or promote the nomination or election of any candidate or candidates to the General Assembly or a state office, except that the members of the same political party in a house of the

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1651 <u>General Assembly may establish only one legislative caucus</u> 1652 committee.

1653 (d) (1) No political committee established by or on behalf of a 1654 lobbyist shall make a contribution or contributions to, for the benefit 1655 of, or pursuant to the authorization or request of, (A) a candidate or a 1656 committee supporting or opposing any candidate's campaign for 1657 nomination at a primary, or any candidate's campaign for election, to 1658 the office of (i) Governor; (ii) Lieutenant Governor, Secretary of the 1659 State, State Comptroller or Attorney General; (iii) State Treasurer, with regard to individuals not subject to the prohibition under subdivision 1660 1661 (2) of subsection (f) of this section; (iv) state senator; (v) state 1662 representative; (B) an exploratory committee; (C) a legislative caucus 1663 committee; or (D) a political committee established by (i) a state officer, 1664 or such officer's agent; (ii) a candidate for state office, or such candidate's agent; (iii) a member of the General Assembly or such 1665 1666 member's agent, (iv) or a candidate for nomination or election to the 1667 General Assembly, or such candidate's agent.

(2) No such candidate or committee shall accept such a contribution.

(e) No political committee established by or on behalf of a lobbyist may solicit a contribution or contributions to, for the benefit of, or pursuant to the authorization or request of, (A) a candidate or a committee supporting or opposing any candidate's campaign for nomination at a primary, or any candidate's campaign for election, to the office of (i) Governor; (ii) Lieutenant Governor, Secretary of the State, State Comptroller or Attorney General; (iii) State Treasurer, with regard to individuals not subject to the prohibition under subdivision (2) of subsection (f) of this section; (iv) state senator; (v) state representative; (B) an exploratory committee; (C) a legislative caucus committee; or (D) a political committee established by (i) a state officer, or such officer's agent; (ii) a candidate for state office, or such candidate's agent; (iii) a member of the General Assembly or such member's agent, (iv) or a candidate for nomination or election to the General Assembly, or such candidate's agent.

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(f) No legislative caucus committee shall make a contribution or contributions to, for the benefit of, or pursuant to the authorization or request of any committee other than a candidate committee for state senator or state representative. No legislative caucus committee shall make a contribution or contributions to, or for the benefit of, any candidate committee for: (1) State senator, in excess of ten thousand dollars; or (2) state representative, in excess of five thousand dollars.

- Sec. 29. Subsection (a) of section 9-333e of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- 1694 (a) Statements filed by party committees, political committees 1695 formed to aid or promote the success or defeat of a referendum question proposing a constitutional convention, constitutional 1696 amendment or revision of the Constitution, individual lobbyists, and 1697 1698 those political committees and candidate committees formed to aid or 1699 promote the success or defeat of any candidate for the office of 1700 Governor, Lieutenant Governor, Secretary of the State, State Treasurer, 1701 State Comptroller, Attorney General, judge of probate and members of 1702 the General Assembly, shall be filed with the office of the Secretary of 1703 the State. On and after January 1, 2008, a copy of each statement filed 1704 by a candidate committee formed to aid or promote the success of any 1705 candidate for the office of Governor, Lieutenant Governor, Secretary of 1706 the State, State Treasurer, State Comptroller, Attorney General, state 1707 senator or state representative shall be filed at the same time with the 1708 State Elections Enforcement Commission. A copy of each statement 1709 filed by a town committee shall be filed at the same time with the town 1710 clerk of the municipality in which the committee is situated. A political 1711 committee formed for a slate of candidates in a primary for the office 1712 of justice of the peace shall file statements with both the Secretary of 1713 the State and the town clerk of the municipality in which the primary 1714 is to be held.
- Sec. 30. Subsection (a) of section 9-333k of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from*

passage):

a) The chairman of each party committee shall designate a campaign treasurer and may designate a deputy campaign treasurer, or in the case of a state central committee, not more than two deputy campaign treasurers. The campaign treasurer and any deputy campaign treasurers so designated shall sign a statement accepting the designation, which shall be filed with the proper authority with the statement of designation required under subdivision (1) of subsection (a) of section 9-333d. No state central committee or town committee shall establish a committee other than a single party committee for purposes of this chapter. The members of the same political party in a house of the General Assembly may establish only one legislative caucus committee. A party committee or a political committee organized for ongoing political activities shall form no other political committees, except that two or more such committees may join to form a political committee for the purpose of a single fund-raising event.

- Sec. 31. Subsection (a) of section 9-333m of the general statutes is repealed and the following is substituted in lieu thereof (*Effective November 8, 2006*):
- (a) No individual shall make a contribution or contributions to, for the benefit of, or pursuant to the authorization or request of, a candidate or a committee supporting or opposing any candidate's campaign for nomination at a primary, or any candidate's campaign for election, to the office of (1) Governor, in excess of [two thousand five hundred three thousand five hundred dollars; (2) Lieutenant Governor, Secretary of the State, State Treasurer, State Comptroller or Attorney General, in excess of [one thousand five hundred] two thousand dollars; (3) state senator, probate judge, or chief executive officer of a town, city or borough, in excess of one thousand dollars; (4) [state senator or probate judge,] state representative, in excess of five hundred dollars; or (5) [state representative or] any other office of a municipality not [previously] specifically included in this subsection, in excess of two hundred fifty dollars. The limits imposed by this

subsection shall be applied separately to primaries and elections.

Sec. 32. Subsections (a) and (b) of section 9-333n of the general statutes are repealed and the following is substituted in lieu thereof (*Effective November 8, 2006*):

- (a) No individual shall make a contribution or contributions in any one calendar year in excess of five thousand dollars to the state central committee of any party, or for the benefit of such committee pursuant to its authorization or request; or one thousand dollars to a town committee of any political party, or for the benefit of such committee pursuant to its authorization or request; or [one thousand] seven hundred fifty dollars to a political committee other than (1) a political committee formed solely to aid or promote the success or defeat of a referendum question, (2) an exploratory committee, (3) [a political committee established by an organization, or for the benefit of such committee pursuant to its authorization or request] a legislative caucus committee, or (4) a political committee formed by a slate of candidates in a primary for the office of justice of the peace of the same town. No individual shall make a contribution or contributions in any one calendar year in excess of one thousand dollars to a legislative caucus committee.
- (b) No individual shall make a contribution to a political committee established by an organization which receives its funds from the organization's treasury. With respect to a political committee established by an organization which has complied with the provisions of subsection (b) or (c) of section 9-333p, and has elected to receive contributions, no individual other than a member of the organization may make contributions to the committee, in which case the individual may contribute not more than [five hundred] seven hundred fifty dollars in any one calendar year to such committee or for the benefit of such committee pursuant to its authorization or request.
- Sec. 33. Section 9-3330 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective November 8, 2006*):

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(a) No business entity shall make any contributions or expenditures to, or for the benefit of, any candidate's campaign for election to any public office or position subject to this chapter or for nomination at a primary for any such office or position, or to promote the defeat of any candidate for any such office or position, or to promote the success or defeat of any political party, except as provided in subsection (b) of this section.

- (b) A business entity may make reasonable and necessary transfers or disbursements to or for the benefit of a political committee established by such business entity, for the administration of, or solicitation of contributions to, such political committee. Nonmonetary contributions by a business entity which are incidental in nature and are directly attributable to the administration of such political committee shall be exempt from the reporting requirements of this chapter. No business entity shall form more than one political committee.
- (c) The provisions of this section shall not preclude a business entity from making contributions or expenditures to promote the success or defeat of a referendum question.
- (d) A political committee organized by a business entity shall not make a contribution or contributions to or for the benefit of any candidate's campaign for nomination at a primary or any candidate's campaign for election to the office of: (1) Governor, in excess of five thousand dollars; (2) Lieutenant Governor, Secretary of the State, Treasurer, Comptroller or Attorney General, in excess of three thousand dollars; (3) state senator, probate judge or chief executive officer of a town, city or borough, in excess of one thousand five hundred dollars; (4) state representative, in excess of [five hundred] seven hundred fifty dollars; or (5) any other office of a municipality not included in subdivision (3) of this subsection, in excess of [two hundred fifty] three hundred seventy-five dollars; or an exploratory committee, in excess of two hundred fifty dollars. The limits imposed by this subsection shall apply separately to primaries and elections and

contributions by any such committee to candidates designated in this subsection shall not exceed one hundred thousand dollars in the aggregate for any single election and primary preliminary thereto. Contributions to such committees shall also be subject to the provisions of section 9-333t in the case of committees formed for ongoing political activity or section 9-333u in the case of committees formed for a single election or primary.

- (e) [A political committee organized by a business entity may make unlimited contributions to, or for the benefit of, another political committee organized by a business entity or to a party committee. No political committee organized by a business entity shall make a contribution to an exploratory committee in excess of two hundred fifty dollars. No such] No political committee organized by a business entity shall make a contribution or contributions in excess of two thousand dollars to any other kind of political committee, in any one calendar year if organized for ongoing political activities, or if formed for a single primary, election or referendum, with respect to such primary, election or referendum, other than a party committee. No political committee organized by a business entity shall make a contribution or contributions in any one calendar year in excess of (1) seven thousand five hundred dollars to a state central committee; or (2) one thousand five hundred dollars to a town committee.
- (f) As used in this subsection, "investment services" means investment legal services, investment banking services, investment advisory services, underwriting services, financial advisory services or brokerage firm services. No political committee established by a firm which provides investment services and to which the State Treasurer pays compensation, expenses or fees or issues a contract shall make a contribution to, or solicit contributions on behalf of, an exploratory committee or candidate committee established by a candidate for nomination or election to the office of State Treasurer during the term of office of the State Treasurer who does business with such firm.

1847 Sec. 34. Section 9-333q of the general statutes is repealed and the

1848 following is substituted in lieu thereof (*Effective November 8, 2006*):

- (a) No political committee established by an organization shall make a contribution or contributions to, or for the benefit of, any candidate's campaign for nomination at a primary or for election to the office of: (1) Governor, in excess of [two thousand five hundred] <u>five thousand</u> dollars; (2) Lieutenant Governor, Secretary of the State, Treasurer, Comptroller or Attorney General, in excess of [one thousand five hundred] <u>three thousand</u> dollars; (3) [chief executive officer of a town, city or borough, in excess of one thousand dollars; (4)] <u>chief executive officer of a town, city or borough,</u> state senator or probate judge, in excess of <u>one thousand</u> five hundred dollars; [or (5)] (4) state representative, [or] <u>in excess of seven hundred fifty dollars; or (5)</u> any other office of a municipality not previously included in this subsection, in excess of [two hundred fifty] <u>three hundred seventy-five</u> dollars.
 - (b) No such committee shall make a contribution or contributions to, or for the benefit of, an exploratory committee, in excess of two hundred fifty dollars. Any such committee may make unlimited contributions to a political committee formed solely to aid or promote the success or defeat of a referendum question.
 - (c) The limits imposed by subsection (a) of this section shall apply separately to primaries and elections and no such committee shall make contributions to the candidates designated in this section which in the aggregate exceed fifty thousand dollars for any single election and primary preliminary thereto.
 - (d) No political committee established by an organization shall make contributions in any one calendar year to, or for the benefit of, (1) the state central committee of a political party, in excess of [five thousand] seven thousand five hundred dollars; (2) a town committee, in excess of one thousand five hundred dollars; or (3) any political committee, other than an exploratory committee or a committee formed solely to aid or promote the success or defeat of a referendum

1880 question, in excess of two thousand dollars.

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- (e) No political committee established by an organization shall make contributions to the committees designated in subsection (d) of this section, which in the aggregate exceed fifteen thousand dollars in any one calendar year. Contributions to a political committee established by an organization shall also be subject to the provisions of section 9-333t in the case of a committee formed for ongoing political activity or section 9-333u in the case of a committee formed for a single election or primary.
- Sec. 35. Section 9-333s of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (a) A party committee may make unlimited contributions to, or for the benefit of, any of the following: (1) Another party committee; (2) [a candidate committee; (3)] a national committee of a political party; [(4)] or (3) a committee of a candidate for federal or out-of-state office. [; or (5) a political committee.]
- 1896 (b) No state central committee shall make a contribution or contributions to, or for the benefit of, any candidate's campaign for 1897 1898 nomination at a primary or for election to the office of: (1) Governor, in 1899 excess of fifty thousand dollars; (2) Lieutenant Governor, Secretary of 1900 the State, State Treasurer, State Comptroller or Attorney General, in 1901 excess of thirty five thousand dollars; (3) state senator, chief executive 1902 officer of a town, city or borough, or probate judge, in excess of ten 1903 thousand dollars; (4) state representative, in excess of five thousand 1904 dollars; (5) any other office of a municipality not previously included 1905 in this subsection, in excess of five thousand dollars; or (6) an 1906 exploratory committee establish by a candidate for nomination or 1907 election to any of said offices, in excess of two hundred fifty dollars. 1908 No state central committee shall make a contribution or contributions 1909 to, or for the benefit of, in any one calendar year in excess of two thousand five hundred dollars to a political committee, except a 1910 1911 legislative caucus committee. No state central committee shall make a

contribution or contributions to, or for the benefit of, in any one calendar year in excess of ten thousand dollars to a legislative caucus committee.

- (c) No town committee shall make a contribution or contributions to, or for the benefit of, any candidate's campaign for nomination at a primary or for election to the office of: (1) Governor, in excess of seven thousand five hundred dollars; (2) Lieutenant Governor, Secretary of the State, State Treasurer, State Comptroller or Attorney General, in excess of five thousand dollars; (3) state senator, chief executive officer of a town, city or borough, or probate judge, in excess of three thousand dollars; (4) state representative, or any other office of a municipality not previously included in this subsection, in excess of one thousand five hundred dollars; or (5) an exploratory committee establish by a candidate for nomination or election to any of said offices, in excess of two hundred fifty dollars. No town committee shall make a contribution or contributions to, or for the benefit of, in any one calendar year in excess of one thousand five hundred dollars to a political committee, except a legislative caucus committee. No town committee shall make a contribution or contributions to, or for the benefit of, in any one calendar year in excess of two thousand dollars to a legislative caucus committee.
 - (d) A party committee may also make contributions to a charitable organization which is a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code, as from time to time amended, or make memorial contributions. A town committee may also contribute to a scholarship awarded by a high school on the basis of objective criteria.
 - [(b)] (e) A party committee may receive contributions from a federal account of a national committee of a political party, but may not receive contributions from any other account of a national committee of a political party or from a committee of a candidate for federal or out-of-state office, for use in the election of candidates subject to the provisions of this chapter.

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Sec. 36. Section 9-333u of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

(a) [A] No political committee established for a single primary or election [may make unlimited contributions to, or for the benefit of, a party committee or a candidate committee, but shall make a contribution or contributions to, or for the benefit of, any candidate's campaign for nomination at a primary or for election to the office of: (1) Governor, in excess of five thousand dollars; (2) Lieutenant Governor, Secretary of the State, State Treasurer, State Comptroller or Attorney General, in excess of three thousand dollars; (3) state senator, chief executive officer of a town, city or borough, or judge of probate, in excess of one thousand five hundred dollars; (4) state representative, in excess of seven hundred fifty dollars; (5) an exploratory committee established by a candidate for nomination or election to any of said offices, in excess of two hundred fifty dollars; or (6) other municipal offices, in excess of three hundred seventy five dollars, and no such political committee shall make contributions to a national committee, or a committee of a candidate for federal or out-of-state office. If such a political committee is established by an organization or a business entity, its contributions shall also be subject to the limitations imposed by sections 9-3330 to 9-333q, inclusive, as amended by this act. No political committee formed for a single election or primary shall, with respect to such election or primary make a contribution or contributions in excess of two thousand dollars to another political committee [, provided no such political committee shall make a contribution in excess of two hundred fifty dollars to an exploratory committee] in any calendar year. No such political committee shall make a contribution or contributions in excess of seven thousand five hundred dollars to a state central committee in any calendar year. No such political committee shall make a contribution or contributions in excess of one thousand five hundred dollars to a town committee in any calendar year.

(b) A political committee established for a single primary or election shall not receive contributions from a committee of a candidate for

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1980 Sec. 37. Subsection (b) of section 9-333y of the general statutes is repealed and the following is substituted in lieu thereof (Effective from 1982 passage):

(b) If any campaign treasurer or lobbyist fails to file the statements required by section 9-333j, as amended by this act, or subsection (g) of section 9-333l, as amended by this act, as the case may be, within the time required, [he] the campaign treasurer or lobbyist shall pay a late filing fee of fifty-five dollars. In the case of a statement that is required to be filed with the Secretary of the State, the secretary shall, within ten days after the filing deadline, notify by certified mail, return receipt requested, the person required to file that, if such statement is not filed within twenty-one days after the deadline, the person is in violation of said section or subsection. If the person does not file such statement within twenty-one days after the deadline, the secretary shall notify the State Elections Enforcement Commission within twenty-eight days after the deadline. In the case of a copy of a statement that is required to be filed with the State Elections Enforcement Commission, the commission shall, not later than ten days after the filing deadline, notify, by certified mail, return receipt requested, the person required to file that if such statement is not filed not later than twenty-one days after the deadline, the person is in violation of section 9-333j, as amended by this act. In the case of a statement that is required to be filed with a town clerk, the town clerk shall forthwith after the filing deadline notify by certified mail, return receipt requested, the person required to file that, if such statement is not filed within seven days after receiving such notice, the town clerk shall notify the State Elections Enforcement Commission that the person is in violation of said section or subsection. The penalty for any violation of said section or subsection shall be a fine of not more than one thousand dollars or imprisonment for not more than one year or both.

2010 Sec. 38. Section 9-7b of the general statutes is repealed and the 2011 following is substituted in lieu thereof (*Effective from passage*):

2012 (a) The State Elections Enforcement Commission shall have the 2013 following duties and powers:

(1) To make investigations on its own initiative or with respect to statements filed with the commission by the Secretary of the State or any town clerk, or upon written complaint under oath by any individual, with respect to alleged violations of any provision of the general statutes and this act relating to any election or referendum, any primary held pursuant to section 9-423, 9-425 or 9-464 or any primary held pursuant to a special act, and to hold hearings when the commission deems necessary to investigate violations of any provisions of the general statutes or this act relating to any such election, primary or referendum, and for the purpose of such hearings the commission may administer oaths, examine witnesses and receive oral and documentary evidence, and shall have the power to subpoena witnesses under procedural rules the commission shall adopt, to compel their attendance and to require the production for examination of any books and papers which the commission deems relevant to any matter under investigation or in question. In connection with its investigation of any alleged violation of any provision of chapter 145, or of any provision of section 9-359 or section 9-359a, the commission shall also have the power to subpoena any municipal clerk and to require the production for examination of any absentee ballot, inner and outer envelope from which any such ballot has been removed, depository envelope containing any such ballot or inner or outer envelope as provided in sections 9-150a and 9-150b and any other record, form or document as provided in section 9-150b, in connection with the election, primary or referendum to which the investigation relates. In case of a refusal to comply with any subpoena issued pursuant to this subsection or to testify with respect to any matter upon which that person may be lawfully interrogated, the superior court for the judicial district of Hartford, on application of the commission, may issue an order requiring such person to comply with such subpoena and to testify; failure to obey any such order of the court may be punished by the court as a contempt thereof. In any

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matter under investigation which concerns the operation or inspection of or outcome recorded on any voting machine, the commission may issue an order to the municipal clerk to impound such machine until the investigation is completed;

(2) To levy a civil penalty not to exceed (A) two thousand dollars per offense against any person the commission finds to be in violation of any provision of chapter 145, part V of chapter 146, part I of chapter 147, chapter 148, section 9-12, subsection (a) of section 9-17, section 9-19b, 9-19e, 9-19g, 9-19h, 9-19i, 9-20, 9-21, 9-23a, 9-23g, 9-23h, 9-23j to 9-230, inclusive, 9-23r, 9-26, 9-31a, 9-32, 9-35, 9-35b, 9-35c, 9-40a, 9-42, 9-43, 9-50a, 9-56, 9-59, 9-168d, 9-170, 9-171, 9-172, 9-232i to 9-232o, inclusive, 9-404a to 9-404c, inclusive, 9-409, 9-410, 9-412, 9-436, 9-436a, 9-453e to 9-453h, inclusive, 9-453k or 9-453o, or (B) two thousand dollars per offense or twice the amount of any improper payment or contribution, whichever is greater, against any person the commission finds to be in violation of any provision of chapter 150 or this act. The commission may levy a civil penalty against any person under subparagraph (A) or (B) of this subdivision only after giving the person an opportunity to be heard at a hearing conducted in accordance with sections 4-176e to 4-184, inclusive. In the case of failure to pay any such penalty levied pursuant to this subsection within thirty days of written notice sent by certified or registered mail to such person, the superior court for the judicial district of Hartford, on application of the commission, may issue an order requiring such person to pay the penalty imposed and such court costs, state marshal's fees and attorney's fees incurred by the commission as the court may determine. Any civil penalties paid, collected or recovered under subparagraph (B) of this subdivision for a violation of any provision of chapter 150 applying to the office of the Treasurer shall be deposited on a pro rata basis in any trust funds, as defined in section 3-13c, affected by such violation;

(3) (A) To issue an order requiring any person the commission finds to have received any contribution or payment which is prohibited by any of the provisions of chapter 150, after an opportunity to be heard

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at a hearing conducted in accordance with the provisions of sections 4-176e to 4-184, inclusive, to return such contribution or payment to the donor or payor, or to remit such contribution or payment to the state for deposit in the General Fund, whichever is deemed necessary to effectuate the purposes of chapter 150;

- (B) To issue an order when the commission finds that an intentional violation of any provision of chapter 150 has been committed, after an opportunity to be heard at a hearing conducted in accordance with sections 4-176e to 4-184, inclusive, which order may contain one or more of the following sanctions: (i) Removal of a campaign treasurer, deputy campaign treasurer or solicitor; (ii) prohibition on serving as a campaign treasurer, deputy campaign treasurer or solicitor, for a period not to exceed four years; and (iii) in the case of a party committee or a political committee, suspension of all political activities, including, but not limited to, the receipt of contributions and the making of expenditures, provided the commission may not order such a suspension unless the commission has previously ordered the removal of the campaign treasurer and notifies the officers of the committee that the commission is considering such suspension;
- (C) To issue an order revoking any person's eligibility to be appointed or serve as an election, primary or referendum official or unofficial checker or in any capacity at the polls on the day of an election, primary or referendum, when the commission finds such person has intentionally violated any provision of the general statutes relating to the conduct of an election, primary or referendum, after an opportunity to be heard at a hearing conducted in accordance with sections 4-176e to 4-184, inclusive;
- 2107 (D) To issue an order to enforce the provisions of the Help America 2108 Vote Act, P.L. 107-252, as amended from time to time, as the 2109 commission deems appropriate;
- 2110 (4) To issue an order to a candidate committee that receives moneys 2111 from the Citizens' Election Fund pursuant to this act, to comply with

2112 the provisions of this act after an opportunity to be heard at a hearing

- 2113 conducted in accordance with the provisions of sections 4-176e to 4-
- 2114 <u>184, inclusive;</u>
- 2115 [(4)] (5) To inspect or audit at any reasonable time and upon
- reasonable notice the accounts or records of any campaign treasurer or
- 2117 principal campaign treasurer, as required by chapter 150 and to audit
- 2118 any such election, primary or referendum held within the state;
- 2119 provided, (A) (i) not later than two months preceding the day of an
- 2120 election at which a candidate is seeking election, the commission shall
- complete any audit it has initiated in the absence of a complaint that
- 2122 involves a committee of the same candidate from a previous election,
- 2123 and (ii) during the two-month period preceding the day of an election
- 2124 at which a candidate is seeking election, the commission shall not
- 2125 initiate an audit in the absence of a complaint that involves a
- 2126 committee of the same candidate from a previous election, and (B) the
- 2127 commission shall not audit any caucus, as defined in subdivision (1) of
- 2128 section 9-372;
- [(5)] (6) To attempt to secure voluntary compliance, by informal
- 2130 methods of conference, conciliation and persuasion, with any
- 2131 provision of chapters 149 to 153, inclusive, or any other provision of
- 2132 the general statutes relating to any such election, primary or
- 2133 referendum;
- [(6)] (7) To consult with the Secretary of the State, the Chief State's
- 2135 Attorney or the Attorney General on any matter which the commission
- 2136 deems appropriate;
- [(7)] (8) To refer to the Chief State's Attorney evidence bearing upon
- violation of any provision of chapters 149 to 153, inclusive, or any
- 2139 other provision of the general statutes pertaining to or relating to any
- 2140 such election, primary or referendum;
- [(8)] (9) To refer to the Attorney General evidence for injunctive
- 2142 relief and any other ancillary equitable relief in the circumstances of
- 2143 subdivision (7) of this subsection. Nothing in this subdivision shall

preclude a person who claims that he is aggrieved by a violation of any provision of chapter 152 or any other provision of the general statutes relating to referenda from pursuing injunctive and any other ancillary equitable relief directly from the Superior Court by the filing of a complaint;

- [(9)] (10) To refer to the Attorney General evidence pertaining to any ruling which the commission finds to be in error made by election officials in connection with any election, primary or referendum. Those remedies and procedures available to parties claiming to be aggrieved under the provisions of sections 9-323, 9-324, 9-328 and 9-329a shall apply to any complaint brought by the Attorney General as a result of the provisions of this subdivision;
- 2156 [(10)] (11) To consult with the United States Department of Justice 2157 and the United States Attorney for Connecticut on any investigation 2158 pertaining to a violation of this section, section 9-12, subsection (a) of 2159 section 9-17 or section 9-19b, 9-19e, 9-19g, 9-19h, 9-19i, 9-20, 9-21, 9-23a, 2160 9-23g, 9-23h, 9-23j to 9-23o, inclusive, 9-26, 9-31a, 9-32, 9-35, 9-35b, 9-2161 35c, 9-40a, 9-42, 9-43, 9-50a, 9-56 or 9-59 and to refer to said department 2162 and attorney evidence bearing upon any such violation for prosecution 2163 under the provisions of the National Voter Registration Act of 1993, 2164 P.L. 103-31, as amended from time to time;
- [(11)] (12) To inspect reports filed with the Secretary of the State and with town clerks pursuant to chapter 150 and refer to the Chief State's Attorney evidence bearing upon any violation of law therein if such violation was committed knowingly and wilfully;
- [(12)] (13) To intervene in any action brought pursuant to the provisions of sections 9-323, 9-324, 9-328 and 9-329a upon application to the court in which such action is brought when in the opinion of the court it is necessary to preserve evidence of possible criminal violation of the election laws;
- [(13)] (14) To adopt and publish regulations pursuant to chapter 54 to carry out the provisions of section 9-7a, this section and chapter 150;

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to issue upon request and publish advisory opinions in the Connecticut Law Journal upon the requirements of chapter 150, and to make recommendations to the General Assembly concerning suggested revisions of the election laws;

[(14)] (15) To the extent that the Elections Enforcement Commission is involved in the investigation of alleged or suspected criminal violations of any provision of the general statutes pertaining to or relating to any such election, primary or referendum and is engaged in such investigation for the purpose of presenting evidence to the Chief State's Attorney, the Elections Enforcement Commission shall be deemed a law enforcement agency for purposes of subdivision (3) of subsection (b) of section 1-210, provided nothing in this section shall be construed to exempt the Elections Enforcement Commission in any other respect from the requirements of the Freedom of Information Act, as defined in section 1-200;

[(15)] (16) To enter into such contractual agreements as may be necessary for the discharge of its duties, within the limits of its appropriated funds and in accordance with established procedures;

[(16)] (17) To provide the Secretary of the State with notice and copies of all decisions rendered by the commission in contested cases, advisory opinions and declaratory judgments, at the time such decisions, judgments and opinions are made or issued;

[(17)] (18) To receive and determine complaints filed under the Help America Vote Act, P.L. 107-252, as amended from time to time, by any person who believes there is a violation of any provision of Title III of P.L. 107-252, as amended. Any complaint filed under this subdivision shall be in writing, notarized and signed and sworn by the person filing the complaint. At the request of the complainant, there shall be a hearing on the record, conducted in accordance with sections 4-167e to 4-184, inclusive. The commission shall make a final determination with respect to a complaint prior to the expiration of the ninety-day period beginning on the date the complaint is filed, unless the complainant

2208 consents to a longer period for making such determination. If the 2209 commission fails to meet the applicable deadline under this 2210 subdivision with respect to a complaint, the commission shall resolve 2211 the complaint within sixty days after the expiration of such ninety-day 2212 period under an alternative dispute resolution procedure established 2213 by the commission.

- (b) In the case of a refusal to comply with an order of the commission issued pursuant to subdivision (3) of subsection (a) of this section, the superior court for the judicial district of Hartford, on application of the commission, may issue a further order to comply. Failure to obey such further order may be punished by the court as a contempt thereof.
- 2220 Sec. 39. Section 9-324 of the general statutes is repealed and the 2221 following is substituted in lieu thereof (*Effective from passage*):

2222 Any elector or candidate who claims that [he] such elector or 2223 candidate is aggrieved by any ruling of any election official in 2224 connection with any election for Governor, Lieutenant Governor, 2225 Secretary of the State, State Treasurer, Attorney General, State 2226 Comptroller or judge of probate, held in [his] such elector's or 2227 candidate's town, or that there has been a mistake in the count of the 2228 votes cast at such election for candidates for said offices or any of 2229 them, at any voting district in [his] such elector's or candidate's town, 2230 or any candidate for such an office who claims that [he] such candidate is aggrieved by a violation of any provision of [sections] section 9-355, 2232 9-357 to 9-361, inclusive, 9-364, 9-364a or 9-365 in the casting of 2233 absentee ballots at such election, or any candidate for the office of 2234 Governor, Lieutenant Governor, Secretary of the State, State Treasurer, Attorney General or State Comptroller, who claims that such candidate 2235 2236 is aggrieved by a violation of any provision of this act, may bring [his] 2237 such elector's or candidate's complaint to any judge of the Superior 2238 Court, in which [he] such elector or candidate shall set out the claimed 2239 errors of such election official, the claimed errors in the count or the 2240 claimed violations of said sections. In any action brought pursuant to

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the provisions of this section, the complainant shall send a copy of the complaint by first-class mail, or deliver a copy of the complaint by hand, to the State Elections Enforcement Commission. If such complaint is made prior to such election, such judge shall proceed expeditiously to render judgment on the complaint and shall cause notice of the hearing to be given to the Secretary of the State and the State Elections Enforcement Commission. If such complaint is made subsequent to the election, it shall be brought [within] not later than fourteen days of the election and such judge shall forthwith order a hearing to be had upon such complaint, upon a day not more than five nor less than three days from the making of such order, and shall cause notice of not less than three nor more than five days to be given to any candidate or candidates whose election may be affected by the decision upon such hearing, to such election official, the Secretary of the State, the State Elections Enforcement Commission and to any other party or parties whom such judge deems proper parties thereto, of the time and place for the hearing upon such complaint. Such judge shall, on the day fixed for such hearing and without unnecessary delay, proceed to hear the parties. If sufficient reason is shown, [he] such judge may order any voting machines to be unlocked or any ballot boxes to be opened and a recount of the votes cast, including absentee ballots, to be made. Such judge shall thereupon, in case [he] such judge finds any error in the rulings of the election official, any mistake in the count of the votes or any violation of said sections, certify the result of [his] such judge's finding or decision to the Secretary of the State before the fifteenth day of the next succeeding December. Such judge may order a new election or a change in the existing election schedule. Such certificate of such judge of [his] such judge's finding or decision shall be final and conclusive upon all questions relating to errors in the rulings of such election officials, to the correctness of such count, and, for the purposes of this section only, such claimed violations, and shall operate to correct the returns of the moderators or presiding officers, so as to conform to such finding or decision, unless the same is appealed from as provided in section 9-325.

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Sec. 40. (NEW) (Effective from passage) (a) (1) No individual who is an officer, director, manager, owner, limited or general partner or holder of stock constituting five per cent or more of the total outstanding stock of any class of a business or entity which is a municipal contractor or a prospective municipal contractor, and has substantial policy or decision-making authority related to the administration of said contract, or a political committee established by such business, or such individual's spouse or dependent child shall make a contribution or contributions to, for the benefit of, or pursuant to the authorization or request of a candidate or a committee supporting or opposing any candidate's campaign for nomination at a primary, or any candidate's campaign for election, to any elected office in such municipality.

- (2) If any said individual or political committee makes such a contribution, the municipal contractor or prospective municipal contractor shall be prohibited from being awarded a municipal contract, or an extension or an amendment to a municipal contract, for one year after the election for which said contribution is made.
 - (3) No such candidate or committee shall accept such a contribution.
- (b) (1) No individual who is an officer, director, manager, owner, limited or general partner or holder of stock constituting five per cent or more of the total outstanding stock of any class of a business or entity which is a municipal contractor or a prospective municipal contractor, and has substantial policy or decision-making authority related to the administration of said contract, or a political committee established by such business, or such individual's spouse or dependent child may solicit a contribution or contributions to, for the benefit of, or pursuant to the authorization or request of a candidate or a committee supporting or opposing any candidate's campaign for nomination at a primary, or any candidate's campaign for election, to any elected office in such municipality.
- (2) If any said individual or political committee makes such a solicitation, the municipal contractor or prospective municipal

contractor shall be prohibited from being awarded a municipal contract, or an extension or an amendment to a municipal contract, for one year after the election for which said contribution is made.

- 2310 Sec. 41. (NEW) (Effective from passage) Notwithstanding the 2311 provisions of section 7-192a of the general statutes, any municipality 2312 may, by ordinance, establish (1) a voluntary program for the public 2313 financing of campaigns of candidates for election to the offices of chief 2314 executive officer of the municipality, municipal clerk, and member of 2315 the legislative body of the municipality, who agree to limit campaign 2316 fund-raising and expenditures, and (2) a commission to administer and 2317 enforce such program. The municipality shall pay the costs of 2318 administering and enforcing such program. Any such ordinance shall 2319 be subject to the provisions of chapter 150 of the general statutes and 2320 shall not contain provisions that are less restrictive than the provisions 2321 of chapter 150 of the general statutes. A candidate for any such office 2322 who decides not to participate in such program shall be subject to the 2323 provisions of chapter 150 of the general statutes. Any such public 2324 financing shall not be deemed to be public funds for the purposes of subsection (d) of section 9-333l of the general statutes, as amended by 2325 2326 this act.
- Sec. 42. (NEW) (*Effective from passage*). If any section, part or provision of this act shall be declared unconstitutional, invalid or ineffective by any court of competent jurisdiction, such declaration shall be limited to the section, part or provision directly involved in the controversy in which such declaration was made and shall not affect any other section, part or provision thereof.
- Sec. 43. Subsections (g), (h), (i) and (j) of section 9-333n of the general statutes are repealed and the following is substituted in lieu thereof (*Effective from passage if section 27 of this act is declared unconstitutional, invalid or ineffective by any court of competent jurisdiction*):
- 2338 [(g) (1) No individual who is an officer, director, manager, owner,

limited or general partner or holder of stock constituting five per cent or more of the total outstanding stock of any class of a business or entity which is a state contractor or a prospective state contractor, and has substantial policy or decision-making authority related to the administration of said contract, or a political committee established by such business, or such individual's spouse or dependent child shall make a contribution or contributions to, for the benefit of, or pursuant to the authorization or request of, (A) a candidate or a committee supporting or opposing any candidate's campaign for nomination at a primary, or any candidate's campaign for election, to the office of Governor; Lieutenant Governor, Secretary of the State, State Comptroller or Attorney General; State Treasurer, with regard to individuals not subject to the prohibition under subdivision (2) of subsection (f) of this section; state senator; state representative; (B) an exploratory committee; (C) a legislative caucus committee; or (D) a political committee established by (i) a state officer, or such officer's agent; (ii) a candidate for state office, or such candidate's agent; (iii) a member of the General Assembly or such member's agent; or (iv) a candidate for nomination or election to the General Assembly, or such candidate's agent.

- (2) If any said individual or political committee makes such a contribution, the state contractor or prospective state contractor shall be prohibited from being awarded a state contract, or an extension or an amendment to a state contract, for one year after the election for which said contribution is made.
- (3) No such candidate or committee shall accept such a contribution.
- (h) (1) No individual who is an officer, director, manager, owner, limited or general partner or holder of stock constituting five per cent or more of the total outstanding stock of any class of a business or entity which is a state contractor or a prospective state contractor, and has substantial policy or decision-making authority related to the administration of said contract, or a political committee established by such business, or such individual's spouse or dependent child, may

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solicit a contribution or contributions to, for the benefit of, or pursuant to the authorization or request of (A) a candidate or a committee supporting or opposing any candidate's campaign for nomination at a primary, or any candidate's campaign for election, to the office of Governor, Lieutenant Governor, Secretary of the State, State Comptroller or Attorney General, State Treasurer, with regard to individuals not subject to the prohibition under subdivision (2) of subsection (f) of this section, state senator, state representative; (B) an exploratory committee; (C) a legislative caucus committee; or (D) a political committee established by (i) a state officer, or such officer's agent; (ii) a candidate for state office, or such candidate's agent; (iii) a candidate for nomination or election to the General Assembly, or such candidate's agent.

- (2) If any said individual or political committee makes such a solicitation, the state contractor or prospective state contractor shall be prohibited from being awarded a state contract, or an extension or an amendment to a state contract, for one year after the election for which said contribution is made.
- (i) (1) No lobbyist, spouse of a lobbyist, or dependent child of a lobbyist shall make a contribution or contributions to, for the benefit of, or pursuant to the authorization or request of (A) a candidate or a committee supporting or opposing any candidate's campaign for nomination at a primary, or any candidate's campaign for election, to the office of Governor, Lieutenant Governor, Secretary of the State, State Comptroller or Attorney General, State Treasurer, with regard to individuals not subject to the prohibition under subdivision (2) of subsection (f) of this section, state senator, state representative; (B) an exploratory committee; (C) a legislative caucus committee; or (D) a political committee established by (i) a state officer, or such officer's agent; (ii) a candidate for state office, or such candidate's agent; (iii) a candidate for nomination or election to the General Assembly, or such candidate's agent.

2406 (2) No such candidate or committee shall accept such a contribution.

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(j) No lobbyist, spouse of a lobbyist, or dependent child of a lobbyist may solicit a contribution or contributions to, for the benefit of, or pursuant to the authorization or request of, (A) a candidate or a committee supporting or opposing any candidate's campaign for nomination at a primary, or any candidate's campaign for election, to the office of Governor, Lieutenant Governor, Secretary of the State, State Comptroller or Attorney General, State Treasurer, with regard to individuals not subject to the prohibition under subdivision (2) of subsection (f) of this section, state senator, state representative; (B) an exploratory committee; (C) a legislative caucus committee; or (D) a political committee established by (i) a state officer, or such officer's agent; (ii) a candidate for state office, or such candidate's agent; (iii) a candidate for nomination or election to the General Assembly, or such candidate's agent.]

(g) No individual who is an officer, director, manager, owner, limited or general partner or holder of stock constituting five per cent or more of the total outstanding stock of any class of a business or entity which is a state contractor or a prospective state contractor, and has substantial policy or decision-making authority related to the administration of said contract, or a political committee established by such business, or such individual's spouse or dependent child, shall make a contribution or contributions greater than one hundred dollars to, for the benefit of, or pursuant to the authorization or request of (1) a candidate or a committee supporting or opposing any candidate's campaign for nomination at a primary, or any candidate's campaign for election, to the office of Governor, Lieutenant Governor, Secretary of the State, State Comptroller or Attorney General, State Treasurer, with regard to individuals not subject to the prohibition under subdivision (2) of subsection (f) of this section, state senator; state representative; (2) an exploratory committee; (3) a legislative caucus committee; or (4) a political committee established by (A) a state officer, or such officer's agent; (B) a candidate for state office, or such

2440 <u>candidate's agent; (C) a member of the General Assembly or such</u> 2441 member's agent; or (D) a candidate for nomination or election to the

2442 General Assembly, or such candidate's agent.

- 2443 (h) (1) No individual who is an officer, director, manager, owner, 2444 limited or general partner or holder of stock constituting five per cent 2445 or more of the total outstanding stock of any class of a business or 2446 entity which is a state contractor or a prospective state contractor, and 2447 has substantial policy or decision-making authority related to the 2448 administration of said contract, or a political committee established by such business, or such individual's spouse or dependent child, may 2449 2450 solicit a contribution or contributions greater than one hundred dollars 2451 to, for the benefit of, or pursuant to the authorization or request of (A) 2452 a candidate or a committee supporting or opposing any candidate's 2453 campaign for nomination at a primary, or any candidate's campaign 2454 for election, to the office of Governor, Lieutenant Governor, Secretary 2455 of the State, State Comptroller or Attorney General, State Treasurer, 2456 with regard to individuals not subject to the prohibition under subdivision (2) of subsection (f) of this section, state senator, state 2457 2458 representative; (B) an exploratory committee; (C) a legislative caucus 2459 committee; or (D) a political committee established by (i) a state officer, or such officer's agent; (ii) a candidate for state office, or such 2460 2461 candidate's agent; (iii) a member of the General Assembly or such 2462 member's agent; or (iv) a candidate for nomination or election to the 2463 General Assembly, or such candidate's agent.
- 2464 (2) If any said individual or political committee makes such a
 2465 solicitation greater than one hundred dollars, the business shall be
 2466 prohibited from being awarded a state contract, or an extension or an
 2467 amendment to a state contract, for one year after the election for which
 2468 said contribution is made.
- 2469 (i) (1) No lobbyist, spouse of a lobbyist, or dependent child of a 2470 lobbyist shall make a contribution or contributions greater than one 2471 hundred dollars to, for the benefit of, or pursuant to the authorization 2472 or request of, (A) a candidate or a committee supporting or opposing

any candidate's campaign for nomination at a primary, or any candidate's campaign for election, to the office of Governor, Lieutenant Governor, Secretary of the State, State Comptroller or Attorney General, State Treasurer, with regard to individuals not subject to the prohibition under subdivision (2) of subsection (f) of this section, state senator, state representative; (B) an exploratory committee; (C) a legislative caucus committee; or (D) a political committee established by (i) a state officer, or such officer's agent; (ii) a candidate for state office, or such candidate's agent; (iii) a member of the General Assembly or such member's agent; or (iv) a candidate for nomination or election to the General Assembly, or such candidate's agent.

(2) No such candidate or committee shall accept such a contribution greater than one hundred dollars.

(j) No lobbyist, spouse of a lobbyist, or dependent child of a lobbyist may solicit a contribution or contributions greater than one hundred dollars to, for the benefit of, or pursuant to the authorization or request of, (A) a candidate or a committee supporting or opposing any candidate's campaign for nomination at a primary, or any candidate's campaign for election, to the office of Governor, Lieutenant Governor, Secretary of the State, State Comptroller or Attorney General, State Treasurer, with regard to individuals not subject to the prohibition under subdivision (2) of subsection (f) of this section, state senator, state representative; (B) an exploratory committee; (C) a legislative caucus committee; or (D) a political committee established by (i) a state officer, or such officer's agent; (ii) a candidate for state office, or such candidate's agent; (iii) a member of the General Assembly or such member's agent; or (iv) a candidate for nomination or election to the General Assembly, or such candidate's agent.

Sec. 44. (NEW) (*Effective from passage*). (a) No executive head of a state agency in the executive branch, executive head of a quasi-public agency, deputy of any such executive head, or other full-time official or employee of any such state agency or quasi-public agency who is appointed by the Governor shall make a contribution or contributions

to, or for the benefit of, any candidate's campaign for nomination at a primary or election to the office of Governor or Lieutenant Governor, in excess of one hundred dollars. As used in this section, "quasi-public agency" has the same meaning as provided in section 1-120 of the general statutes.

- (b) No official or employee of the office of the Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer shall make a contribution or contributions to, or for the benefit of, any candidate's campaign for nomination at a primary or election to the office in which such official or employee serves, in excess of one hundred dollars.
- (c) No member of a caucus staff for a major party in the Senate or House of Representatives shall make a contribution or contributions to, or for the benefit of, any candidate's campaign for nomination at a primary or election to the office of state senator or state representative, in excess of one hundred dollars."

This act shall take effect as follows and shall amend the following			
sections:			
Section 1	from passage	New section	
Sec. 2	July 1, 2006	New section	
Sec. 3	July 1, 2007	New section	
Sec. 4	from passage	9-348ee	
Sec. 5	from passage	9-333j(e)	
Sec. 6	from passage, and	New section	
	applicable to elections held		
	in 2008, and thereafter		
Sec. 7	from passage, and	New section	
	applicable to elections held		
	in 2008, and thereafter		
Sec. 8	from passage, and	New section	
	applicable to elections held		
	in 2008, and thereafter		
Sec. 9	from passage, and	New section	
	applicable to elections held		
	in 2008, and thereafter		

applicable to elections held in 2008, and thereafter Sec. 11 from passage, and applicable to elections held in 2008, and thereafter Sec. 12 from passage, and applicable to elections held in 2008, and thereafter Sec. 13 from passage, and applicable to elections held in 2008, and thereafter Sec. 14 July 1, 2005, and applicable to elections held in 2008, and thereafter Sec. 15 from passage, and applicable to elections held in 2008, and thereafter Sec. 16 from passage, and applicable to elections held in 2008, and thereafter Sec. 17 from passage, and applicable to elections held in 2008, and thereafter Sec. 18 from passage, and applicable to elections held in 2008, and thereafter Sec. 19 from passage, and applicable to elections held in 2008, and thereafter Sec. 19 from passage, and applicable to elections held in 2008, and thereafter Sec. 20 July 1, 2005, and applicable to elections held in 2008, and thereafter Sec. 21 July 1, 2005, and applicable to elections held in 2008, and thereafter Sec. 22 from passage Sec. 23 from passage Sec. 24 from passage Sec. 25 from passage Sec. 26 from passage Sec. 27 from passage Sec. 28 from passage Sec. 29 Grow passage Sec. 29 Sassage Sec. 20 from passage Sec. 21 from passage Sec. 22 from passage Sec. 23 from passage Sec. 24 from passage Sec. 25 from passage Sec. 26 from passage Sec. 27 from passage Sec. 28 from passage Sec. 29 Sassage Sec. 29 Sassage Sec. 20 Sassage Sec. 20 Sassage Sec. 21 Sassage Sec. 22 from passage Sec. 23 from passage Sec. 24 from passage Sec. 26 from passage Sec. 27 from passage Sec. 28 from passage Sec. 29 Sassage Sec. 29 Sassage Sec. 20 Sassage Sec. 20 Sassage Sec. 21 Sassage Sec. 22 from passage Sec. 23 from passage Sec. 24 from passage Sec. 25 from passage Sec. 26 from passage Sec. 27 from passage Sec. 28 from passage Sec. 29 Sassage Sec. 29 Sassage Sec. 29 Sassage Sec. 20 Sassage Sec. 20 Sassage Sec. 20 Sassage Sec. 21 Sassage Sec. 22 from passage Sec. 23 from passage Sec. 24 from passage	Sec. 10	from passage, and	New section
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Sec. 23 from passage 9-333b(b) Sec. 24 from passage 9-333j(c) Sec. 25 from passage 9-333l(g) Sec. 26 from passage 9-333l(e)	Sec. 22	1 -	9-333a
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Sec. 26		1,	/\ /
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1 Dec. 27 17071 DUSSUYE 19-00011	Sec. 27	from passage	9-333n

Sec. 28	from passage	9-333t
Sec. 29	from passage	9-333e(a)
Sec. 30	from passage	9-333k(a)
Sec. 31	November 8, 2006	9-333m(a)
Sec. 32	November 8, 2006	9-333n(a) and (b)
Sec. 33	November 8, 2006	9-3330
Sec. 34	November 8, 2006	9-333q
Sec. 35	from passage	9-333s
Sec. 36	from passage	9-333u
Sec. 37	from passage	9-333y(b)
Sec. 38	from passage	9-7b
Sec. 39	from passage	9-324
Sec. 40	from passage	New section
Sec. 41	from passage	New section
Sec. 42	from passage	New section
Sec. 43	from passage if section 27	9-333n(g), (h), (i) and (j)
	of this act is declared	
	unconstitutional, invalid	
	or ineffective by any court	
	of competent jurisdiction	
Sec. 44	from passage	New section